

# Gaming Commission

DIVISION OF CHARITABLE GAMING

DIVISION OF GAMING

DIVISION OF HORSE RACING AND PARI-MUTUEL WAGERING

DIVISION OF LOTTERY

# 2015 ANNUAL REPORT

**To: The Honorable Andrew M. Cuomo, Governor  
Members of the New York State Legislature  
Robert F. Mujica, Director of the Budget  
June 30, 2016**

On behalf of the New York State Gaming Commission, I respectfully submit the Commission's 2015 Annual Report, as required by Chapter 346 of the Laws of 1973. Incorporated into this report is the simulcast report required by the Racing, Pari-Mutuel Wagering and Breeding Law Section 1002.2.

The Division of Lottery produced combined sales and net win from the traditional lottery and video lottery businesses of \$9.69 billion, producing a contribution of \$3.30 billion for education in fiscal year 2015-1016.

The Division of Horse Racing continued to provide oversight and monitoring of horse racing and pari-mutuel wagering. The total betting at New York State tracks from all sources in 2015 was \$884,353,318. The Commission imposed 1,079 sanctions for violations of racing laws, rules and regulations at both harness and Thoroughbred tracks. The rulings include, but are not limited to, driving and riding infractions, general conduct violations, human and equine drug violations, general business practice violations and several other rule and law violations. The Commission issued 375 violations that were not assigned to a specific track. Fines levied in 2015 totaled \$90,775. The Commission issued 37,236 licenses to participate in racing in 2015, including multi-year licenses that were active during the year.

The Division of Gaming continued to provide oversight and monitoring of games at the State's nine video lottery facilities and five Class III Native American facilities. With the passage of a constitutional amendment to permit full casino gaming in the state in November 2013, the Commission will provide regulatory oversight of the future casinos in New York State. In 2014, the Gaming Commission appointed the individuals to serve on the Gaming Facility Location Board, which was responsible for evaluating casino applications and making selections of who is eligible to apply for a commercial gaming license in New York.

In 2015, the background and suitability checks were completed on the three selected applicants and licenses were awarded to the entities listed below, effective March 2016.

- del Lago Resort & Casino
- Montreign Resort Casino
- Rivers Casino & Resort at Mohawk Harbor

The licensees are now in the construction phase and all have reported to be on schedule to open within two years of licensure. By law, a facility must open for business within two years of receipt of a formal gaming license.

Also in 2015, the licensing process was reopened for the Southern Tier and one application was received. The Gaming Facility Location Board selected Tioga Downs Casino Racing & Entertainment for licensure consideration. If granted a license, Tioga Downs Casino will also be held to the same applicable law to be open for business within two years of licensure.

The Division of Charitable Gaming continued to be responsible for the investigation, audit and monitoring of bell jar ticket sales and the verification of the lawful disbursement of bingo, bell jar, Las Vegas night, and raffle proceeds by licensees in New York State. In 2015, the total amount wagered for all games was \$249,452,770 and the profit to charities was \$40,481,799.

The New York State Gaming Commission looks forward to continuing to partner and collaborate with all our colleagues in State government and in the various gaming industries we regulate in order to make a better New York State.

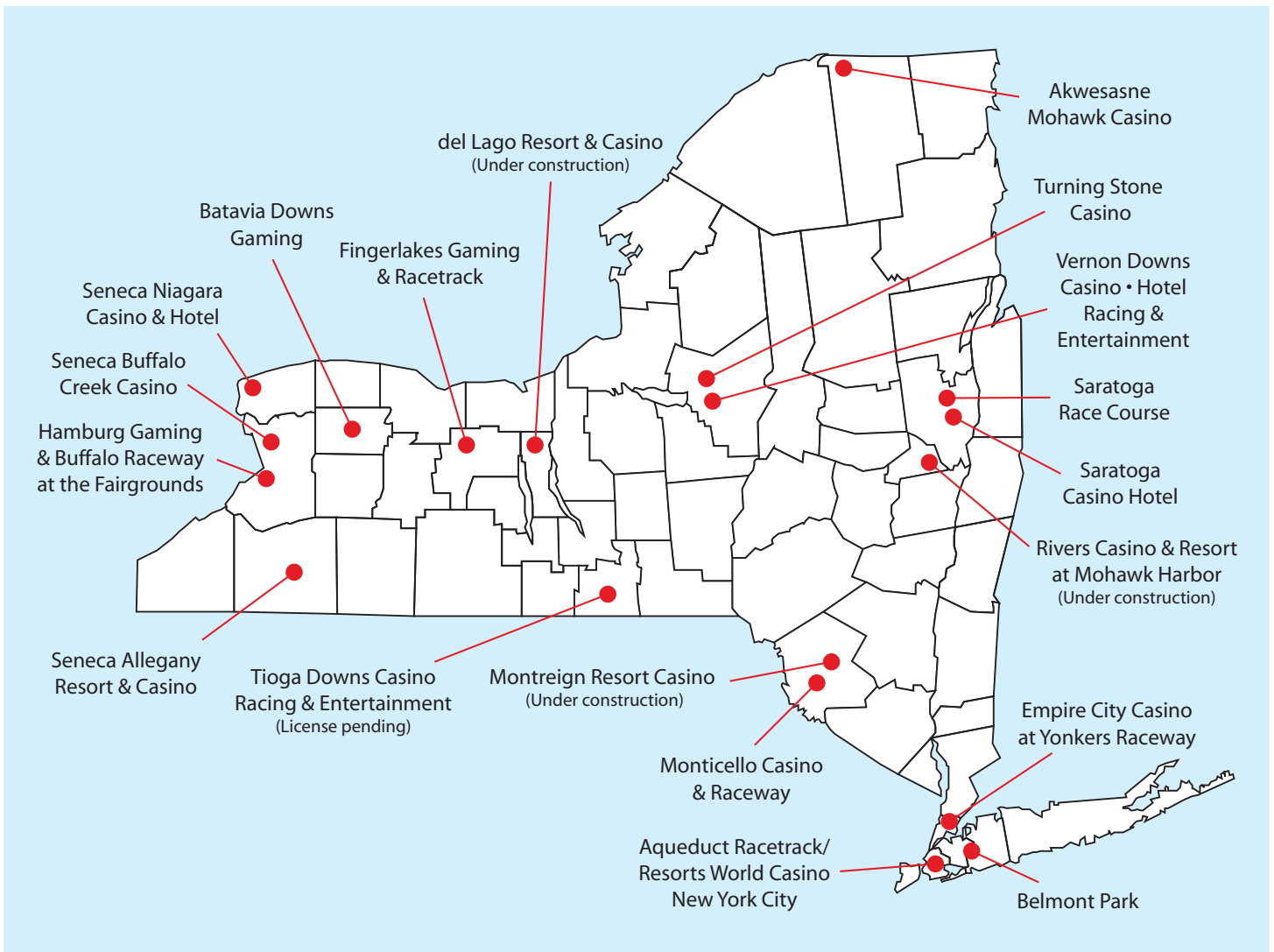
Respectfully Submitted,



Robert Williams  
*Executive Director*

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## Gaming Locations



## About the New York State Gaming Commission

The New York State Gaming Commission regulates all aspects of gaming activity in the State, including horse racing and pari-mutuel wagering, Class III Indian Gaming, the state lottery (including video lottery terminals) and charitable gaming.

The Commission was created by Chapter 60 of the Laws of 2012.

The law merged the New York State Racing and Wagering Board with the New York State Division of Lottery into a single state agency.

The Commission became effective February 1, 2013.

## Mission Statement

The New York State Gaming Commission's mission is to ensure that all lawful gaming and horse racing activity conducted in this State is of the highest integrity, credibility and quality. Operating in the most efficient and transparent manner, the Commission conducts the New York Lottery and serves the best interests of the public by providing responsive and effective state gaming regulation. The Commission strives to ensure that all stakeholders in the gaming and horse racing industries, including the consumers who wager on activities regulated or operated by the Commission, are treated in an equitable and responsible manner and to promote the health and safety of horses and all participants in racing. By consolidating various regulatory functions into one oversight body with broad powers, the Commission seeks to ensure fair and strict regulation of all gaming activity while reducing costs and regulatory burdens to the gaming industry. The Commission aspires to provide the regulatory structure necessary for New York gaming activity to operate effectively in a global, evolving and increasingly competitive marketplace to generate revenue for aid to education and for the support of government, and to contribute to overall economic development and job creation in New York.

## Notable Milestones of Gaming in New York State

- 1894: NYS Constitution prohibited all gambling: "no lottery or sale of lottery tickets, pool-selling, book making, or any other kind of gambling."
- 1939: Pari-mutuel betting on horse racing permitted.
- 1957: Charitable bingo permitted.
- 1966: State Lottery permitted, "the net proceeds of which shall be applied exclusively to or in aid or support of education in this state as the legislature may prescribe."
- June 1, 1967: The first day of sales for the New York Lottery. The first instant game tickets featured scenes from New York.
- 1975: Charitable games of chance permitted.
- October 11, 1976: The first \$1 million Lottery winner.
- 1981: Lottery subscriptions begin with Lotto.
- January 22, 1989: "Quick Pick" player option introduced.
- April 16, 1993: New York State enters into Class III Gaming Compact with the Oneida Indian Nation.  
July 20, 1993: Turning Stone Casino opens.
- October 15, 1993: New York State enters into Class III Gaming Compact with St. Regis Mohawk Tribe.

*Notable Milestones of Gaming in New York State (continued)*

- September 6, 1995: The Quick Draw game started.
- April 12, 1999: Akwesasne Mohawk Casino opens.
- 2001: Video lottery gaming authorized.
- May 2002: New York Lottery joins Mega Millions.
- August 18, 2002: New York State enters into Class III Gaming Compact with Seneca Nation of Indians.
- December 31, 2002: Seneca Niagara Casino opens.
- January 28, 2004: Saratoga Casino & Raceway opens.
- February 18, 2004: Finger Lakes Gaming & Racetrack opens.
- March 17, 2004: Fairgrounds Gaming & Raceway opens.
- May 1, 2004: Seneca Allegany Casino opens.
- June 30, 2004: Might M Gaming at Monticello Raceway opens.
- May 18, 2005: Batavia Downs Gaming opens.
- July 4, 2006: Tioga Downs Gaming Racing Entertainment opens.
- October 11, 2006: Empire City at Yonkers Raceway opens.
- October 26, 2006: Vernon Downs Gaming Racing Entertainment opens.
- July 3, 2007: Seneca Buffalo Creek Casino opens.
- February 2010: New York Lottery joins Powerball.
- October 28, 2011: Resorts World Casino New York City opens.
- July 31, 2013: Governor Cuomo signs the Upstate New York Gaming and Economic Development Act.
- November 5, 2013: Constitutional Amendment approved permitting casino gaming “at no more than seven facilities as authorized and prescribed by the legislature.”
- June 30, 2014: Applications for commercial casino licenses submitted.
- December 17, 2014: Gaming Facility Location Board unanimously approves its selections for three entities to apply for commercial gaming facility licenses in New York State.
- December 21, 2015: Commission awards gaming facility licenses to the three applicants.
- January 26, 2016: Gaming Facility Location Board unanimously selects a fourth entity for commercial gaming facility licensure consideration.

## Gaming Commissioners

### John A. Crotty



John A. Crotty is a founding member of Workforce Housing Advisors, a New York City-based development firm with a particular focus on repositioning distressed multifamily properties as affordable housing. In total, Mr. Crotty has nearly two decades of experience in housing, government and finance in a variety of capacities. Mr. Crotty is a former member of the Board of Directors of the New York City Off-Track Betting Corporation and was appointed by Governor Paterson to a panel to restructure the Off-Track Gaming system statewide. Mr. Crotty was also a member of the New York State Franchise Oversight Board, which oversees the finances of The New York Racing Association, Inc. Prior to founding Workforce Housing Advisors, Mr. Crotty was the Executive Director of JPMorgan Chase’s municipal housing finance group, which he started in 2007. He was the Executive Vice President of the New York City Housing Development Corporation from 2004 to 2007 and was Mayor Michael Bloomberg’s Director of City Legislative Affairs. Prior to joining the Bloomberg administration in 2002, he held a variety of financial management positions at MCI, PaineWebber, Winstar and Verizon from 1996 to 2002. Mr. Crotty is a Founding Member of Santa’s Angels, a not-for-profit organization that raises money and distributes gifts to the poor during the holiday season. He was a member of the Citizen’s Union Board of Directors. Mr. Crotty holds a M.B.A. from Columbia Business School and a B.A. from the University of Rochester.

### Peter J. Moschetti, Jr.



Peter J. Moschetti, Jr. is a founding member of the firm of Anderson, Moschetti and Taffany. He served as a prosecutor in the Nassau County District Attorney’s Office from 1984 to 1987. Since then, Moschetti has focused on the trial of personal injury and wrongful death cases, including products liability and medical malpractice actions. He represents individuals and corporations in both state and federal courts and has been appointed as a special prosecutor in a number of high-profile criminal cases in the region. He also served as a member of the New York Temporary State Commission on Lobbying, a precursor of the Joint Commission on Public Integrity. Moschetti has been named one of the top 25 lawyers in the Hudson Valley by New York Super Lawyers Magazine every year since 2007 and has received the highest possible rating by Martindale Hubbell for both legal ability and ethical standards. He has lectured for continuing legal education in the areas of civil and criminal law and has taught at Albany Law School. Moschetti was graduated with honors from both the University of New Haven in Connecticut in 1981 and Albany Law School in 1984. He resides in Saratoga Springs.

### John J. Poklemba



John J. Poklemba serves as General Counsel to American Transit Insurance Company, a New York City-based Commercial Automobile Insurer. Previously, Mr. Poklemba was the managing partner of his general practice in Saratoga County for more than a decade. Prior to that, Mr. Poklemba was principal attorney responsible for governmental relations and litigation for Bartlett, Pontiff, Stewart & Rhodes, P.C. in Glens Falls. Mr. Poklemba served as New York State Director of Criminal Justice and Commissioner of the New York State Division of Criminal Justice Services from 1987 to 1991. He also served as Counsel to the agency from 1984 to 1987. Prior to his work in New York’s Criminal Justice division, Mr. Poklemba worked as a Chief Appellate Law Assistant to the New York State Appellate Division, Fourth Department in Rochester, Deputy Counsel for the New York State Office of Court Administration in Albany, Law Secretary to the Hon. Robert J. Sise in the New York Court of Claims in Albany and as a Trial Preparation Assistant for the Office of the District Attorney in the Bronx. Mr. Poklemba began his law career as a litigation assistant for Dewey, Ballantine, Bushby, Palmer and Wood in New York City in 1972 and worked as a senior auditor for Travelers Insurance Company. Mr. Poklemba has been published several times in various legal journals. He received a B.A. in Economics from Boston College and was graduated from St. John’s School of Law.

## Gaming Commissioners

### Barry Sample



Barry Sample recently retired from Governor Cuomo's Administration as Deputy Director of State Operations where he handled the day-to-day management of state government following nearly two decades in service to New York State. In addition to his most recent experience, Mr. Sample served as Deputy Director at the New York State Division of Criminal Justice Services, Deputy Director at the New York State Division of Budget, and Director of Intergovernmental Affairs and Community Relations at the New York State Comptroller's Office. Mr. Sample also taught at SUNY Albany, where he was involved in their Center on Minorities and their School of Criminal Justice.

### Todd R. Snyder



Todd R. Snyder is executive vice chairman of North American Global Financial Advisory and co-head of the debt and restructuring group at Rothschild, Inc., a leading international investment banking and financial advisory firm where he is also Senior Managing Director. Mr. Snyder has been an advisor for Rothschild Inc.'s companies for more than two decades, including work with Emerald Casino, Dow Corning and United Airlines. Mr. Snyder also served as part of Governor Cuomo's Labor Negotiating Team, where he was instrumental in forging new contracts with the state's public sector unions. He advised the Bush and Obama administrations on the restructuring of the auto industry. Prior to joining Rothschild Inc., Mr. Snyder held a series of positions in restructuring and reorganization. Prior to his move to investment banking, Mr. Snyder practiced law in the Business Reorganization department of Weil, Gotshal & Manges. Mr. Snyder was graduated with honors from Wesleyan University and received a J.D.

from the University of Pennsylvania Law School. In addition, Mr. Snyder is an adjunct professor at New York University Law School and New York University Leonard N. Stern School of Business.





## Fiscal Year 2015-2016 Year-End Review

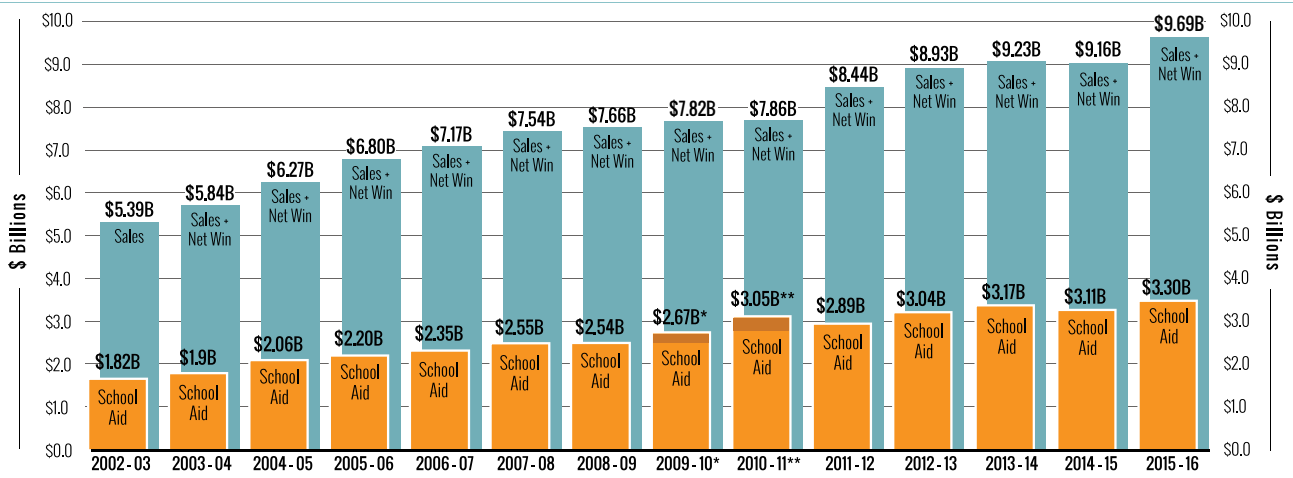


**Gaming Commission**

The New York State Gaming Commission's Lottery Division is proud to offer this year-end overview of its record-breaking traditional and video gaming operations for Fiscal Year 2015-16. All profits, less prizes paid, retailer commissions and administrative expenses, from the Lottery's gaming operations are used to support aid to education.

The New York Lottery's combined profit from its traditional lottery and video lottery businesses continue to lead the nation with a record year-end contribution to education totaling \$3.30 billion. Combined sales and net win for the same time period totaled \$9.69 billion.

### LEADING THE NATION IN AID TO EDUCATION



\*Includes a one-time gain from investment bond swaps of \$63.1 million.

\*\*Includes a \$380 million licensing fee from Resorts World New York and a one-time gain from investment bond swaps of \$15.3 million.

### FUN, GAMES AND FUNDS RAISED BY GAME/VIDEO LOTTERY FACILITY

#### Jackpot Games



Total Sales: \$254,541,768  
Education Aid: \$105,063,653



Total Sales: \$460,045,055  
Education Aid: \$189,886,376



Total Sales: \$107,639,885  
Education Aid: \$39,047,019



Total Sales: \$96,686,000  
Education Aid: \$49,576,331

#### Scratch-Off Games



Total Sales: \$3,912,253,820  
Education Aid: \$864,570,703

#### Daily Games



Total Sales: \$902,496,410  
Education Aid: \$372,510,847



Total Sales: \$911,433,616  
Education Aid: \$376,199,732



Total Sales: \$241,180,654  
Education Aid: \$99,548,772



Total Sales: \$29,630,843  
Education Aid: \$12,230,309



Total Sales: \$787,230,137  
Education Aid: \$246,210,982

#### Video Gaming Facilities



Net Win: \$845,907,210  
Education Aid: \$390,780,113



Net Win: \$571,204,793  
Education Aid: \$301,361,355



Net Win: \$163,596,145  
Education Aid: \$82,527,537



Net Win: \$129,297,646  
Education Aid: \$63,695,960



Net Win: \$65,682,429  
Education Aid: \$24,558,907



Net Win: \$60,855,080  
Education Aid: \$25,070,205



Net Win: \$57,978,244  
Education Aid: \$23,603,090



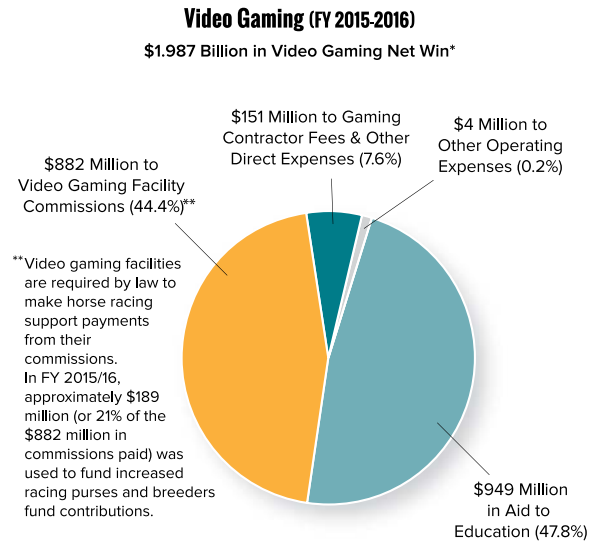
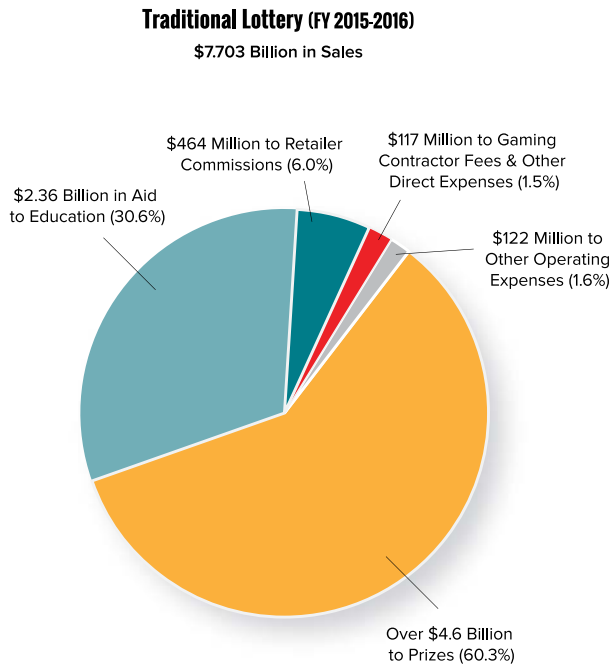
Net Win: \$38,593,999  
Education Aid: \$14,355,644



Net Win: \$54,257,323  
Education Aid: \$23,735,315

**WHERE DO LOTTERY DOLLARS GO?**

Total New York Lottery Sales & Net Win: **\$9.69 Billion** - Aid to Education: **\$3.30 Billion**



\*\*Video gaming facilities are required by law to make horse racing support payments from their commissions. In FY 2015/16, approximately \$189 million (or 21% of the \$882 million in commissions paid) was used to fund increased racing purses and breeders fund contributions.

\*Video gaming Net Win

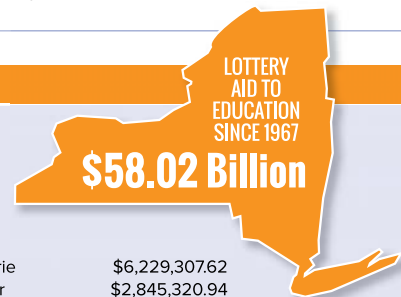
Credits Played	\$37.005 Billion
Credits Won	\$35.018 Billion
Net Win	\$1.987 Billion*

**LOTTERY AID TO EDUCATION BY COUNTY (FY 2015-2016)**

Albany	\$42,561,880.50
Allegany	\$12,659,442.05
Broome	\$42,159,243.41
Cattaraugus	\$22,340,512.74
Cayuga	\$14,570,995.76
Chautauqua	\$31,667,491.29
Chemung	\$18,456,426.24
Chenango	\$13,880,464.75
Clinton	\$16,484,265.29
Columbia	\$5,838,186.25
Cortland	\$10,645,601.46
Delaware	\$7,014,891.01
Dutchess	\$41,342,478.10
Erie	\$190,525,401.43
Essex	\$2,983,972.00
Franklin	\$11,153,100.76
Fulton	\$12,326,105.40
Genesee	\$13,574,184.12
Greene	\$6,750,069.41
Hamilton	\$139,406.86
Herkimer	\$15,607,382.08

Jefferson	\$27,817,514.31
Lewis	\$6,609,557.86
Livingston	\$12,259,528.46
Madison	\$15,026,110.98
Monroe	\$161,868,283.38
Montgomery	\$12,541,962.01
Nassau	\$138,659,047.71
New York City*	\$1,241,108,427.03
Niagara	\$46,402,642.74
Oneida	\$54,192,629.21
Onondaga	\$104,613,215.98
Ontario	\$21,095,266.70
Orange	\$82,347,865.88
Orleans	\$10,768,301.17
Oswego	\$31,188,061.91
Otsego	\$10,157,374.40
Putnam	\$12,402,493.21
Rensselaer	\$28,821,470.10
Rockland	\$30,611,838.15
Saratoga	\$34,804,289.04
Schenectady	\$31,195,072.73

Schoharie	\$6,229,307.62
Schuyler	\$2,845,320.94
Seneca	\$6,798,278.16
St Lawrence	\$25,314,829.95
Steuben	\$25,510,555.70
Suffolk	\$245,288,826.79
Sullivan	\$10,648,171.26
Tioga	\$13,097,357.52
Tompkins	\$12,643,166.60
Ulster	\$24,273,046.34
Warren	\$8,472,779.92
Washington	\$13,019,921.49
Wayne	\$23,314,340.32
Westchester	\$95,074,926.48
Wyoming	\$6,819,517.62
Yates	\$2,457,192.63



The above amounts are based on the New York State Education Department's Division of Aid to Localities for Education from the State Lottery Fund as required by law. For a complete listing visit [nylottery.ny.gov](http://nylottery.ny.gov).

Lottery revenue is distributed to local school districts by the same statutory formula used to distribute other state aid to education. It takes into account both a school district's size and its income level; larger, lower-income school districts receive proportionately larger shares of Lottery school funding.

\*Includes Bronx, Kings, New York, Queens and Richmond Counties.

## Division of Horse Racing and Pari-Mutuel Wagering

### Officiating and Integrity

Each race conducted at a New York Thoroughbred racetrack is observed by three stewards: one employed by the Commission, one employed by the racing association and one employed by the Jockey Club. At the harness tracks, each race is observed by three judges who all are employees of the Commission. The stewards' and judges' viewing stands are located near the finish line of each racetrack and are equipped with several monitors to permit the viewing of multiple angles of each race. The stewards and judges observe the races to ensure that all conduct complies with the rules and regulations.

Preparation to become a steward or presiding judge includes coursework and testing. Examples of the testing and preparation are:

- All presiding and associate judges and starters must be licensed by the United States Trotting Association (USTA) before being hired. A test must be passed to obtain each license.
- All full-time stewards must attend the Louisville Equine Steward Certification Class. They must also attend periodic seminars in order to keep their licenses valid.
- All current judges are retested periodically on the rules, equipment, medications and procedures and the training tests are updated frequently.
- New officials receive extensive on-the-job training at their new positions before being hired full-time.

### Regulatory Activities

During calendar year 2015, the Commission imposed 1,079 sanctions for violations of racing laws, rules and regulations at both harness and Thoroughbred tracks. The rulings include, but are not limited to, driving and riding infractions, general conduct violations, human and equine drug violations, general business practice violations and several other rule and law violations. The Commission issued 375 violations that were not assigned to a specific track. Fines levied in 2015 totaled \$90,775.

### Racing Licensing

Participants in New York State racing are required to obtain an occupational license. The character and integrity of all participants are important to the continuity of the sport. Every application is reviewed, updated and processed carefully by the Commission's licensing bureau before a license is issued. Also, by statute, fingerprinting is required of each applicant. Where it is believed that an applicant's background or licensee's conduct may warrant a finding that his or her participation in racing would be inconsistent with the public interest or the best interest of racing generally, a thorough investigation is conducted before action is taken. Licenses are suspended or revoked where warranted.

The following chart reflects the total number of licenses issued to participate in racing in 2015, including multi-year licenses that were active during the year. Individuals that hold licenses for more than one occupation (i.e., owner/trainer or trainer/driver) are counted twice, once in each category.

Occupation	2015 Harness	2015 Thoroughbred	Total
APPRENTICE JOCKEY		36	36
ASST. TRAINER		541	541
AUTHORIZED AGENT		146	146
CLEANING SERVICE	305	210	515
DRIVER		750	750
EXERCISE RIDER		1194	1194
FARRIER	49	106	155
FOOD SERVICE	597	303	900
GAP ATTENDANT		5	5
GENERAL SERVICES	2080	2496	4576
GROOM	2650	2914	5564
JOCKEY		296	296
JOCKEY AGENT		64	64
MUTUEL CLERK	285	1588	1873
OWNER ORIGINAL	679	1290	1969
OWNER RENEWAL	4945	5304	10249
PEACE OFFICER	234	406	640
PRIVATE TRAINER	9	5	14
PROVISIONAL DRIVER	124		124
QUALIFIER DRIVER	73		73
RACING OFFICIAL		77	77
SECURITY	354	294	648
STABLE EMPLOYEE	6	2106	2112
TOTE EMPLOYEE	806	769	1575
TOTE OFFICER	36	31	67
TOTE OTB	3	8	11
TRACK MGT.	178	70	248
TRAINER	2000	644	2644
VETERINARIAN	66	104	170
<b>TOTALS</b>	<b>16,229</b>	<b>21,007</b>	<b>37,236</b>

## Office of Equine Medicine



### **Equine Medical Director** **Scott E. Palmer, VMD**

Scott E. Palmer, VMD, a renowned veterinarian with more than three decades of experience in providing medical care for horses, oversees the health and safety of horses at all New York State Thoroughbred and Standardbred racetracks.

Dr. Palmer is responsible for all aspects of equine health, safety, and welfare at New York racetracks and advises the Commission on equine medication policies as well as the safety and condition of racetrack facilities and surfaces. He supervises all on-track regulatory veterinarians as well as the New York State Equine Drug Testing Program laboratory. He oversees equine testing procedures, ensures compliance with regulatory veterinary protocols, investigates incidents and monitors the Commission's necropsy program.

As New York's Equine Medical Director, Dr. Palmer also serves as an Adjunct Professor at Cornell University's College of Veterinary Medicine, where he is responsible for developing and coordinating continuing education programs for veterinarians and trainers related to medication and equine injuries. He coordinates research on equine sports medicine topics and collaborates with faculty on epidemiological studies to analyze equine safety issues. Since his graduation from the University of Pennsylvania School of Veterinary Medicine in 1976, Dr. Palmer has worked as a staff clinician at the New Jersey Equine Clinic, serving as the Hospital Director since 1997. He is a two-time recipient of the New Jersey Equine Practitioners Veterinarian of the Year award, as well as a recipient of the AAEP President's Award in 2009 and the AAEP Distinguished Service Award in 2010.

Dr. Palmer is board certified in equine practice by the American Board of Veterinary Practitioners. He has authored dozens of peer-reviewed publications and is a featured speaker at veterinary conferences worldwide. He is a member of several professional organizations and has held leadership positions in many, including the American Veterinary Medical Association, the American Association of Equine Practitioners, the American Board of Veterinary Practitioners, the New Jersey Veterinary Medical Association, and the New Jersey Association of Equine Practitioners.

Dr. Palmer chaired the New York Task Force on Racehorse Health and Safety, which was formed at the request of Governor Andrew M. Cuomo in 2012 in the wake of 21 equine fatalities during Aqueduct's 2011-12 Winter Meet. The New York State Equine Medical Director position was established in 2013 as a key recommendation of that Task Force.

Dr. Palmer serves as a Board Member for the NTRA Safety and Integrity Alliance Advisory Board and the Thoroughbred Charities of America. He previously served on the ARCI Special Task Force on Medication and chaired the International Summit on Race Day Medication as well as the Ad-Hoc RMTC Committee on Race Day Security and served two terms as a member of the Grayson-Jockey Club Research Advisory Committee.

## Equine Drug Testing

The Equine Drug Testing Program (EDTP) for Thoroughbred and harness racing in New York State is performed by Morrisville State College in Morrisville, New York, under contract with New York State. The EDTP at Morrisville State College continues to be one of the leading equine drug testing programs in the world.

Equine drug testing is mandated by Racing, Pari-Mutuel Wagering and Breeding Law Section 902.

When the EDTP detects and confirms the presence of a prohibited substance, the laboratory immediately informs the Division of Horse Racing and Pari-Mutuel Wagering staff and the Commission’s General Counsel’s office. Division staff will immediately inform the steward or presiding judge at the racetrack where the horse’s sample originated, along with other appropriate Commission staff. An investigation into the matter is begun after the steward or presiding judge identifies the horse and its trainer.

The steward or presiding judge matches the sample identifying numbers to the previously locked documentation of collected samples. After identification, investigation into the circumstances, including interviews with all involved parties, begins. The trainer is afforded the option of having a residual sample of the original, if available, tested at an approved laboratory of his or her choice at his or her expense. After the investigation is completed and all other information is gathered and studied, the licensee, if appropriate, is assessed a penalty by the State steward or presiding judge. The licensee may challenge the penalty through a hearing process. After receipt of the hearing officer’s completed report, the Commission renders a decision.

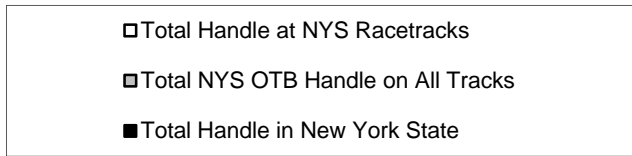
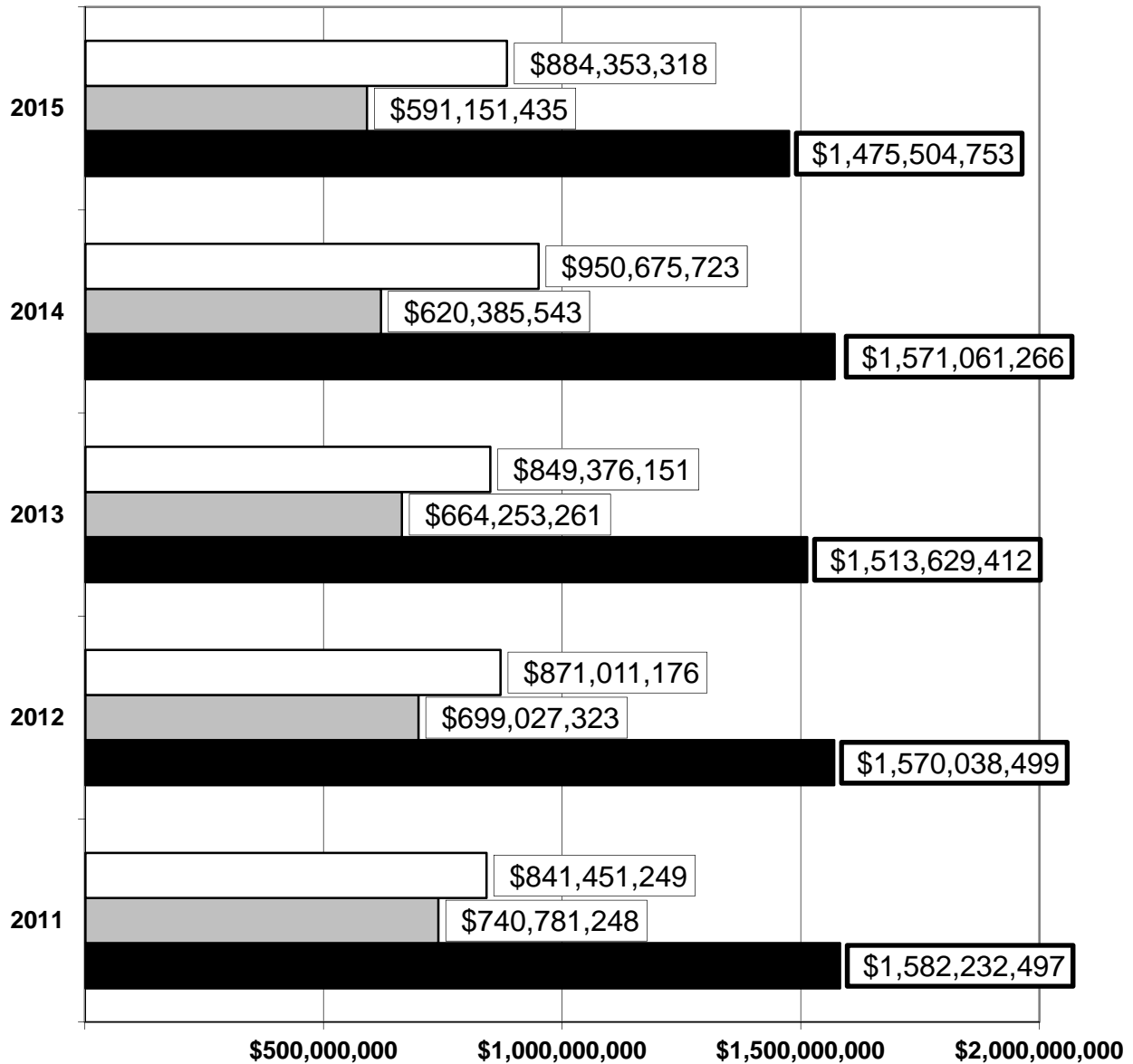
A listing of the most commonly used medicines in the equine racing world is contained within the applicable rules. Also contained are the number of hours “out” (before race day) that these listed drugs may be administered. The only allowable medication on race day in New York is furosemide. Furosemide is permitted to be administered only to horses properly enrolled in a furosemide medication program.

Post-Race Positives By Track	2014	2015
Aqueduct	20	5
Batavia Downs	5	3
Belmont Park	8	5
Buffalo Raceway	4	6
Finger Lakes Racetrack	14	9
Monticello Raceway	7	3
Saratoga Race Course (Thoroughbred)	10	2
Saratoga Raceway (Harness)	2	4
Tioga Downs	3	0
Vernon Downs	2	1
Yonkers Raceway	6	11
<b>TOTAL</b>	<b>81</b>	<b>49</b>

## Summary Post-Race Drug Report for 2015

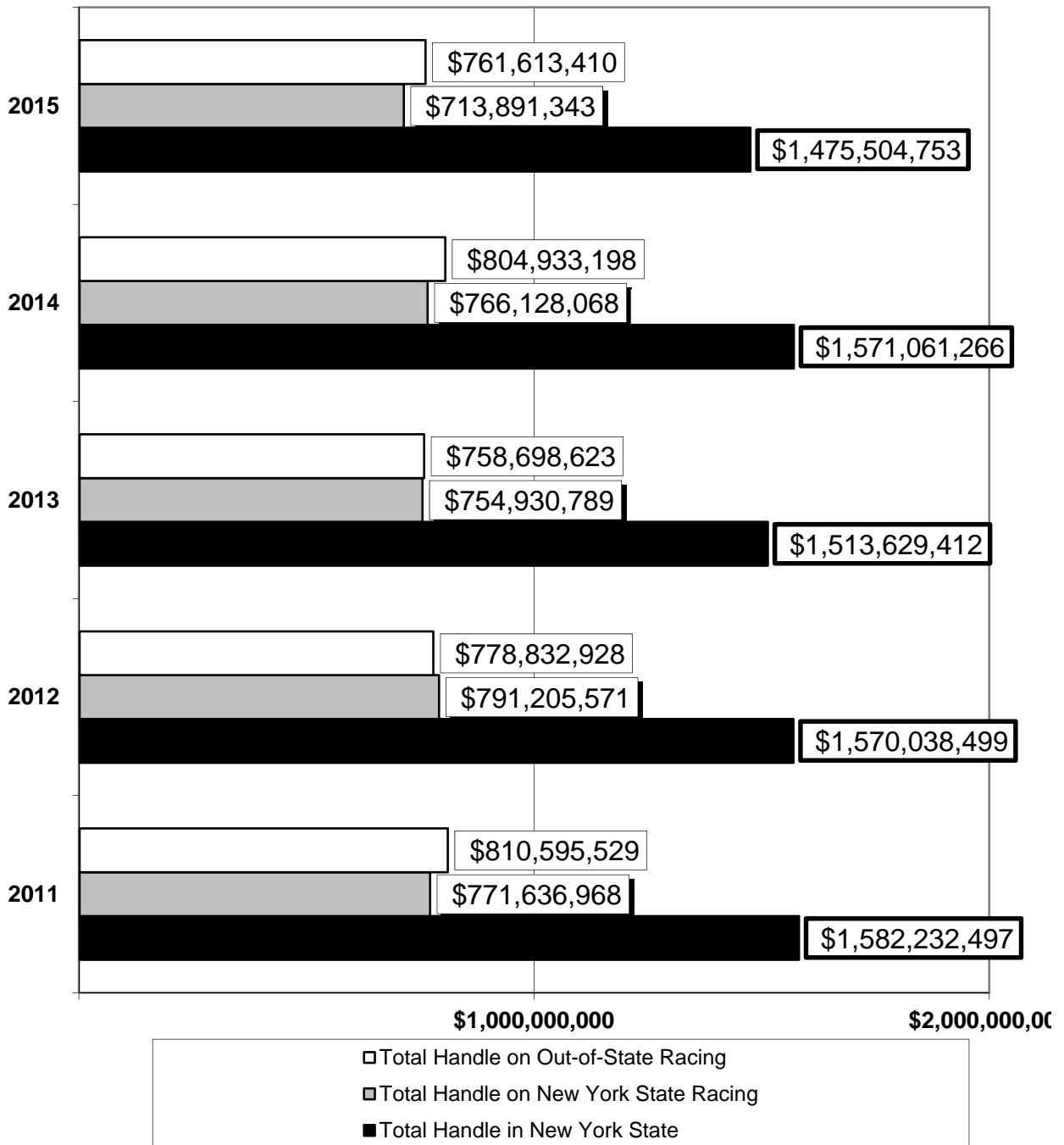
Drug	Standard	Thoroughbred	Total
1,7 dimethylxanthine	5		5
2 (1-Hydroxyethyl)		1	1
AH-7921		2	2
Betamethasone		1	1
Boldenone	2		2
Caffeine	5		5
Clenbuterol	1	1	2
Diclofenac		1	1
Flunixin	6	1	7
Furosemide	4		4
Guaifenesin	2		2
Heptaminol	1		1
Isflupredone	1		1
Ketoprofen		1	1
Methocarbamol	2		2
Methylprednisolone		3	3
Nordazepam	1		1
O-desmethyl Pyrilamine	1		1
Orphenadrine		6	6
Oxazepam	1		1
Oxyphenbutazone		2	2
Phenylbutazone	3	3	6
Prednisolone		1	1
Prednisone		1	1
Promazine Sulfoxide		1	1
Pyrilamine	1		1
Sertraline	1		1
Theobromine	5		5
Theophylline	5		5
Tripeleennamine	1		1
<b>TOTAL</b>	<b>48</b>	<b>25</b>	<b>73</b>

## Annual Handle New York State Tracks and OTBs

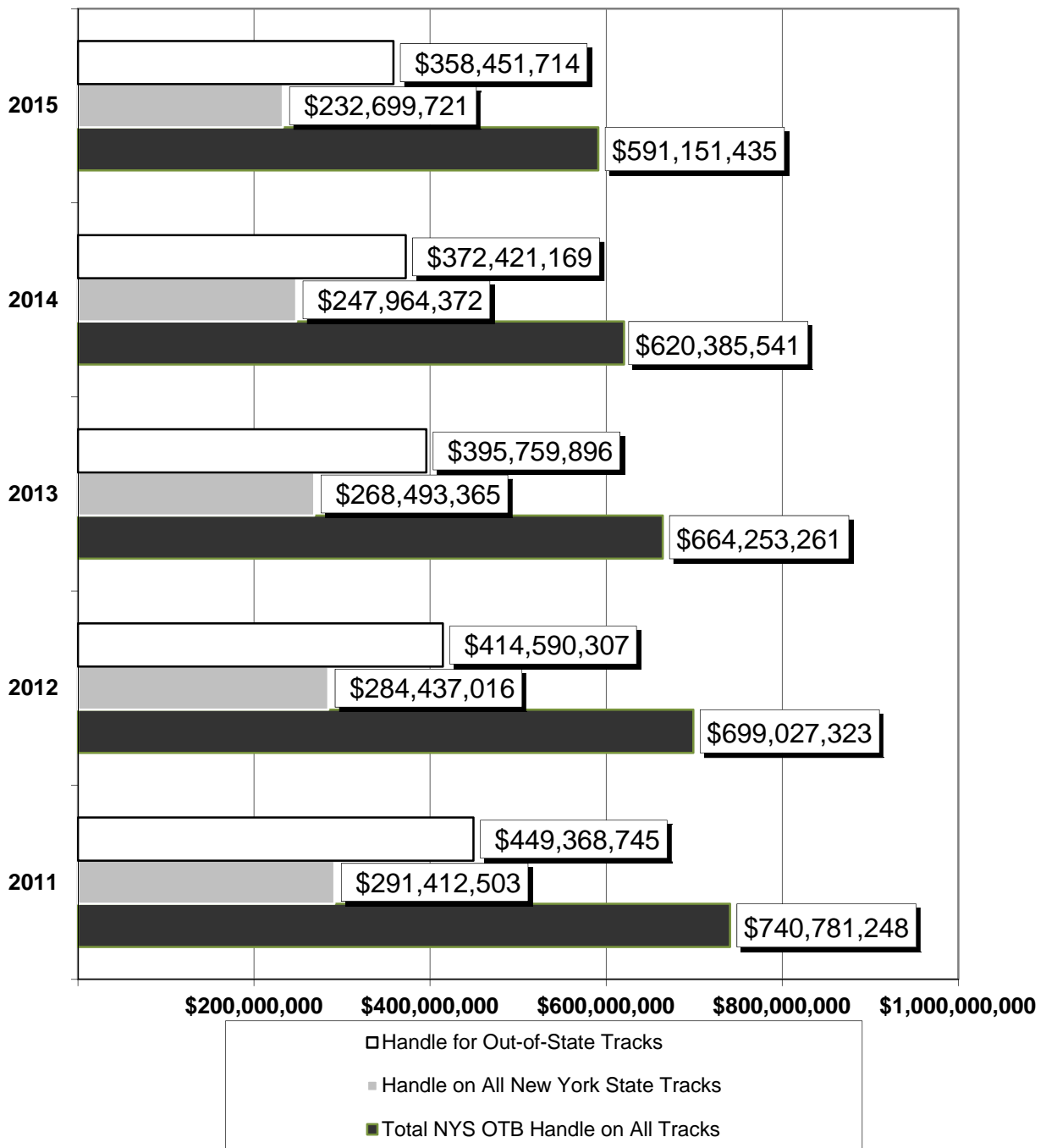




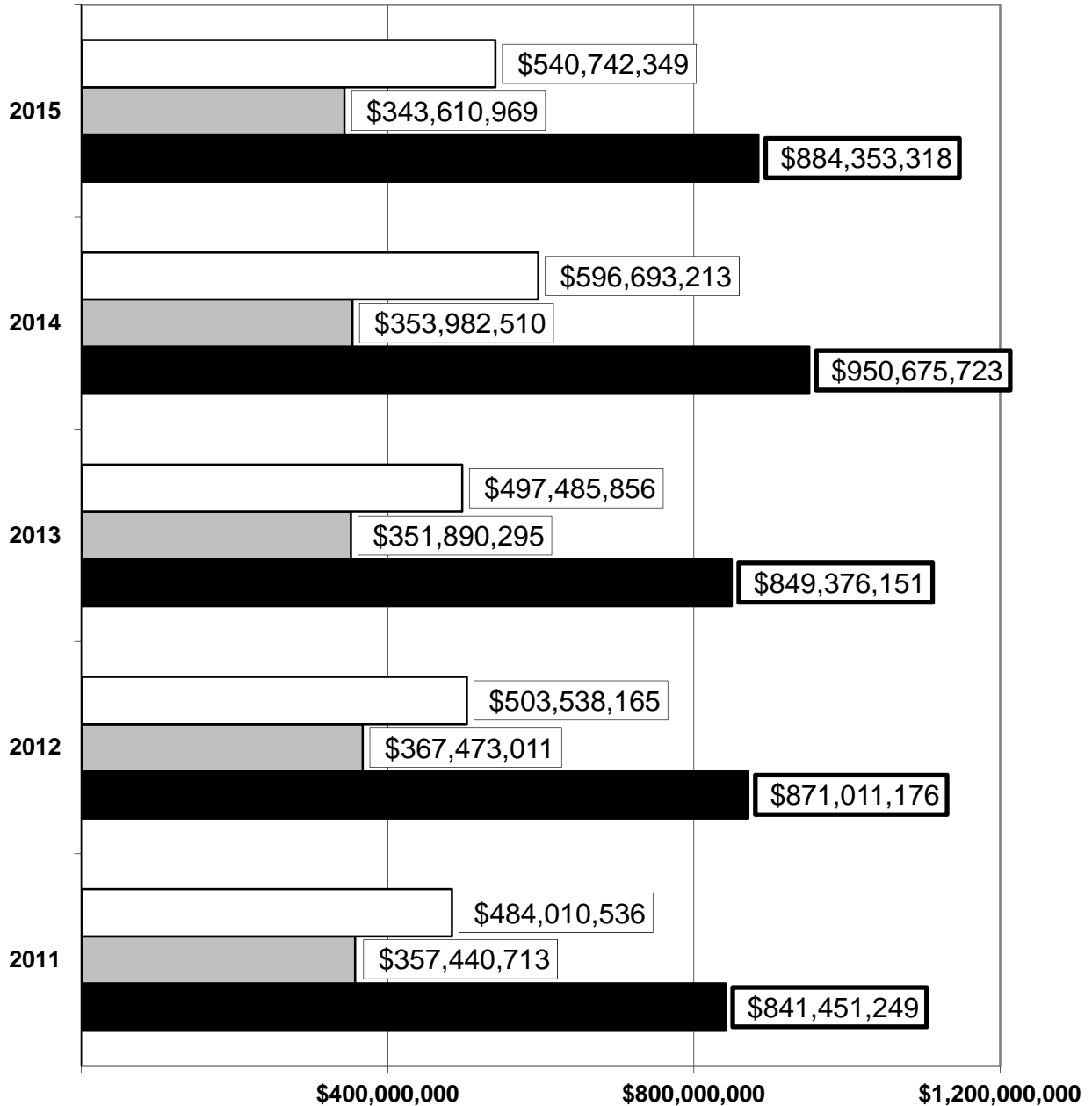
## Annual Handle New York State Tracks and OTBs In-State vs. Out-of-State Tracks



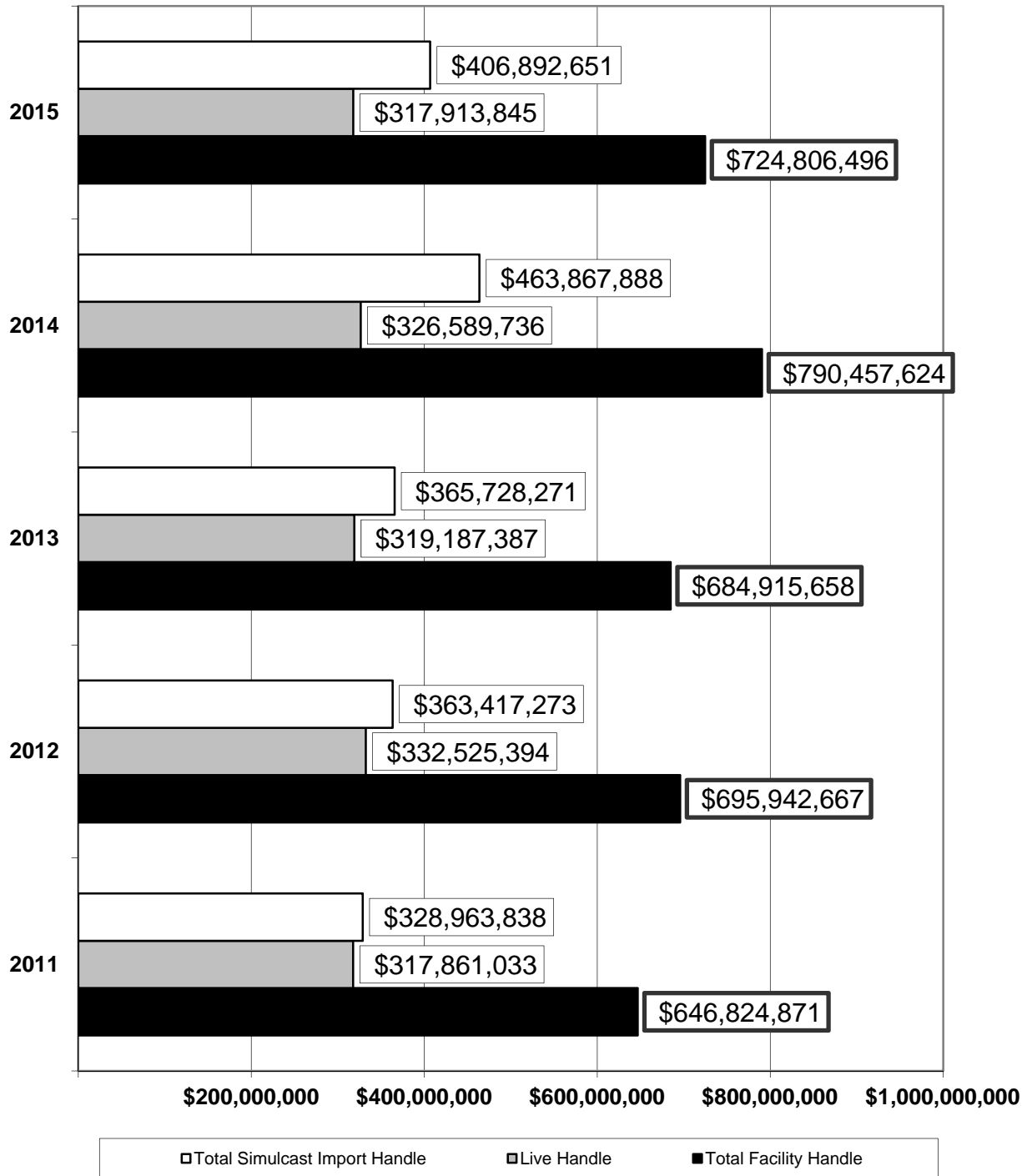
## Total OTB Handle in New York State vs. New York State and Out-of-State Tracks



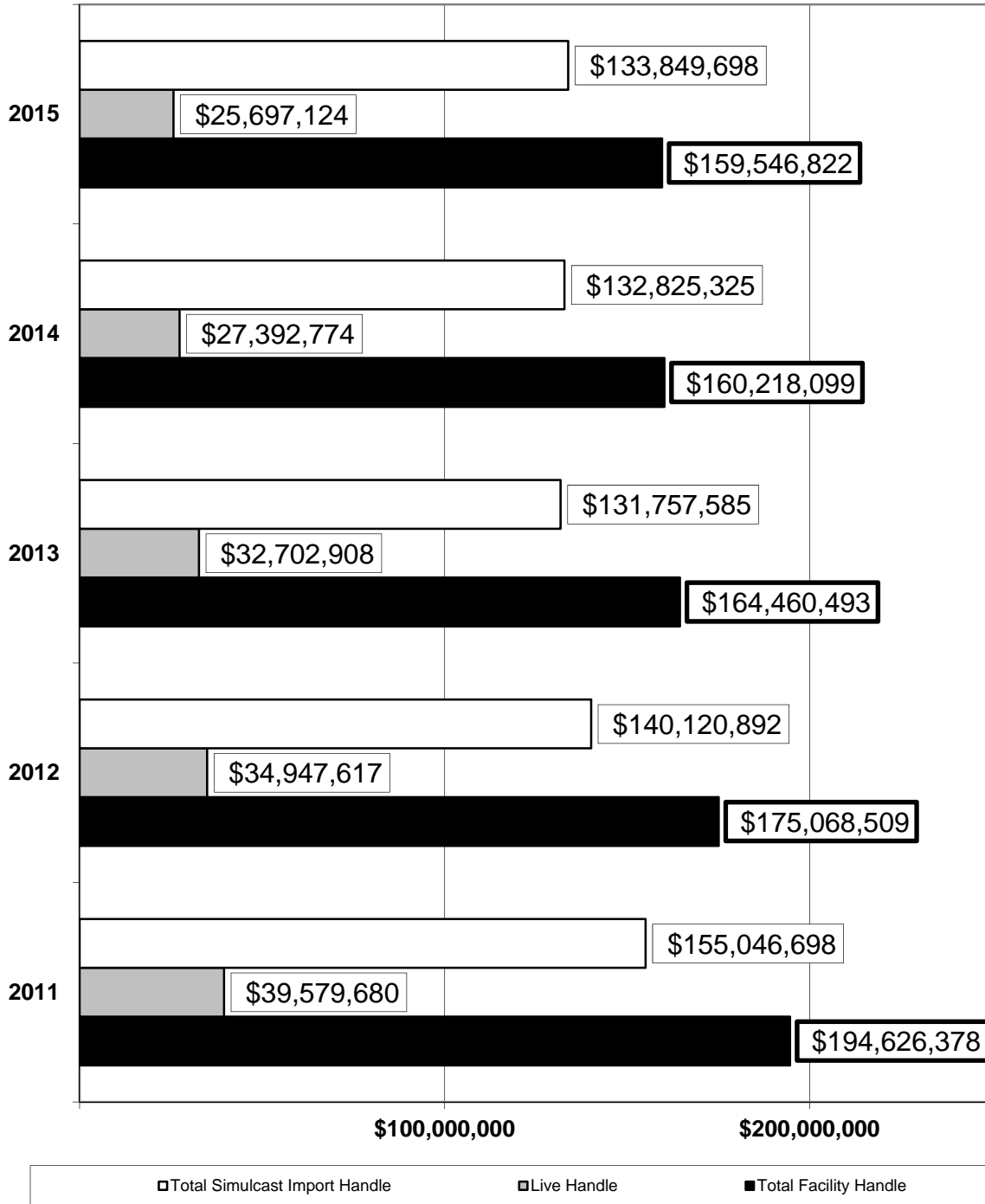
## Annual Handle New York State Thoroughbred and Harness Tracks



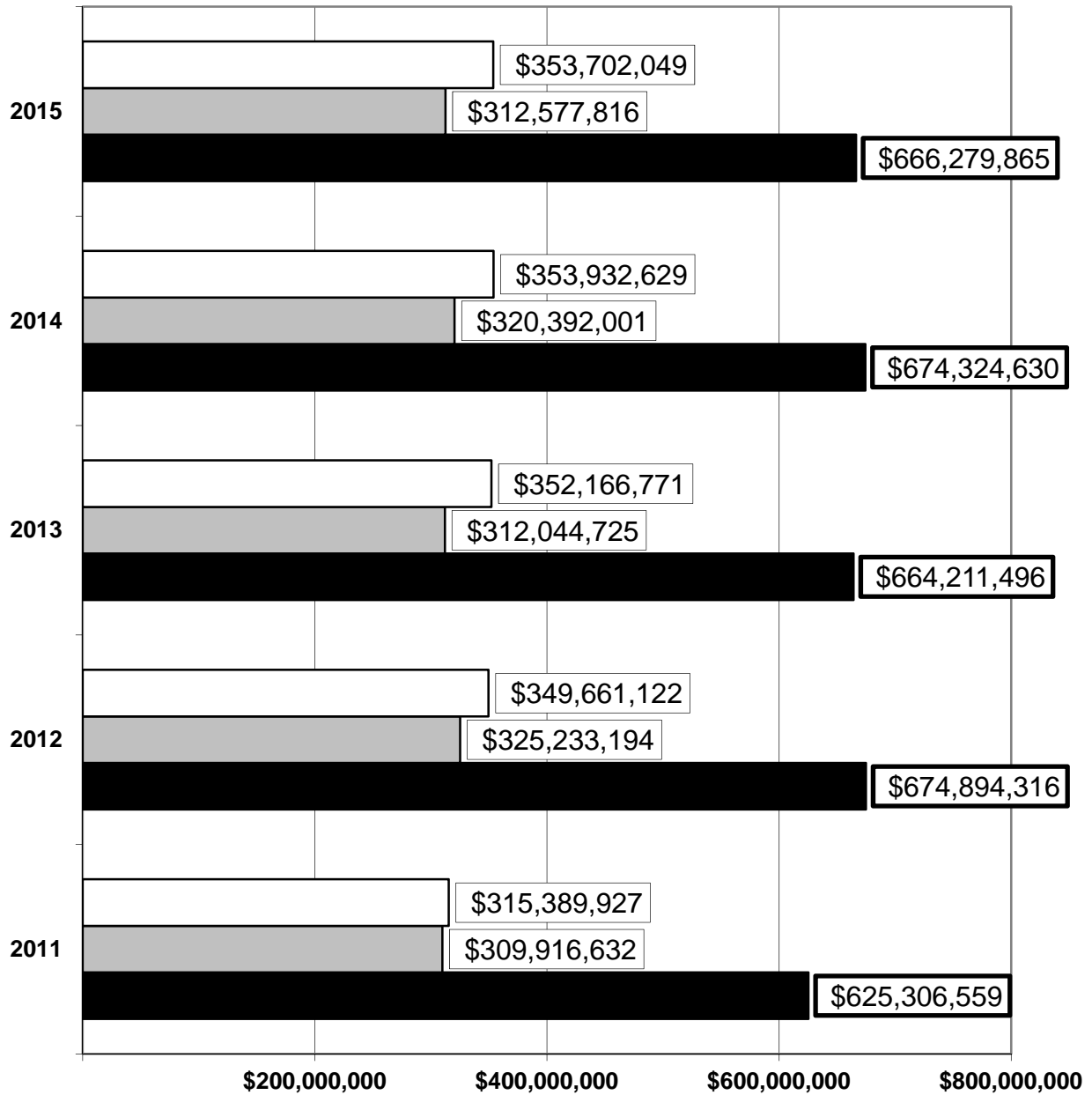
## Annual Handle New York State Thoroughbred Tracks



## Annual Handle New York State Harness Tracks

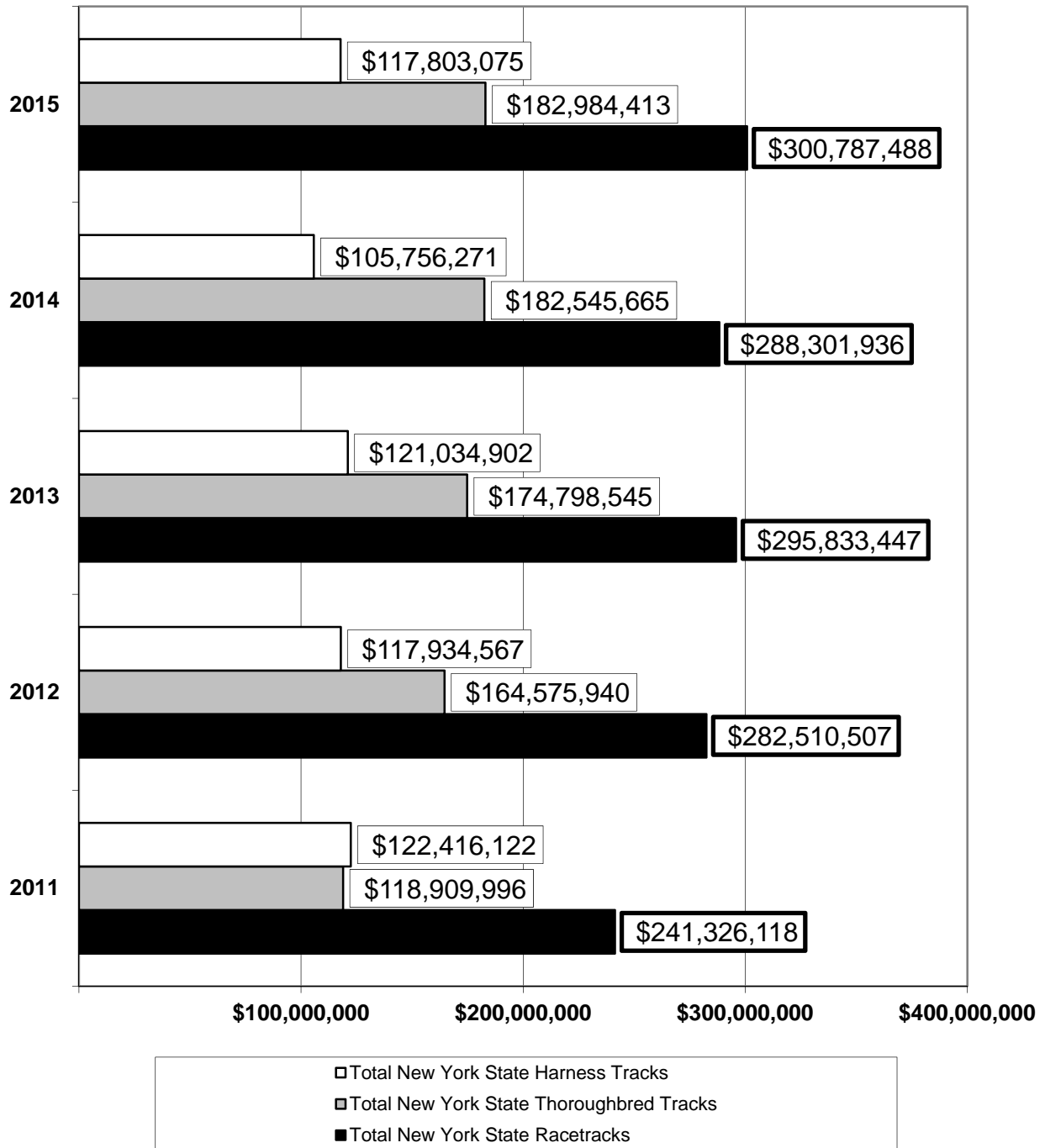


## NYRA Annual Handle *Aqueduct, Belmont, Saratoga*



Total Simulcast Import Handle
  Live Handle
  Total Facility Handle

## Gross Purses Paid at New York State Racetracks



## 2015 Gross Purses Paid New York State and Nationwide

### 2015 Nationwide Thoroughbred Purses

State	Gross Purses	Races	Average Purse	Starters	Starts	Average Field	Average Starts per Runner
New York	\$ 184,265,863	3,568	\$ 51,644	6,124	26,736	7.5	4.4
California	\$ 144,285,157	3,873	\$ 37,254	5,870	28,739	7.4	4.9
Pennsylvania	\$ 108,861,933	3,950	\$ 27,560	6,909	30,315	7.7	4.4
Kentucky	\$ 100,325,081	1,823	\$ 55,033	6,111	15,542	8.5	2.5
Florida	\$ 96,726,850	3,285	\$ 29,445	7,650	28,625	8.7	3.7
Louisiana	\$ 74,370,654	3,076	\$ 24,178	6,014	25,892	8.4	4.3
Maryland	\$ 48,245,039	1,380	\$ 34,960	3,792	11,718	8.5	3.1
West Virginia	\$ 43,242,215	2,884	\$ 14,994	5,137	22,144	7.7	4.3
Ohio	\$ 38,181,091	2,328	\$ 16,401	4,453	18,515	8.0	4.2
Illinois	\$ 31,728,828	1,714	\$ 18,512	2,438	12,097	7.1	5.0
New Mexico	\$ 28,896,169	1,463	\$ 19,751	2,426	11,601	7.9	4.8
Indiana	\$ 25,797,910	983	\$ 26,244	2,699	8,351	8.5	3.1
Oklahoma	\$ 24,804,455	1049	\$ 23,646	2,244	8,543	8.1	3.8
New Jersey	\$ 24,616,950	716	\$ 34,381	2,227	5,926	8.3	2.7
Arkansas	\$ 22,659,110	477	\$ 47,503	1,731	4,467	9.4	2.6

Data provided courtesy of The Jockey Club. Purses include monies not won and returned to state breeders and other funds. Not all states are presented.

### 2015 Nationwide Harness Purses

State	Purses	Races	Average Purse
New York	\$ 116,663,856	10,556	\$11,052
Pennsylvania	\$ 98,607,883	6,758	\$14,591
Ohio	\$ 50,173,789	6,449	\$7,780
New Jersey	\$ 32,214,019	2,268	\$14,204
Indiana	\$ 27,976,405	2,175	\$12,863
Delaware	\$ 25,238,023	2,476	\$10,193
Illinois	\$ 10,932,585	1,881	\$5,812
Florida	\$ 9,212,935	1,293	\$7,125
Kentucky	\$ 8,275,610	590	\$14,026
Maryland	\$ 7,534,367	1,211	\$6,222
Maine	\$ 5,562,178	1,389	\$4,004
Michigan	\$ 4,483,218	870	\$5,153
Massachusetts	\$ 4,210,636	949	\$4,437
Minnesota	\$ 2,515,958	476	\$5,286
California	\$ 2,391,090	685	\$3,491

Data provided courtesy of USTA. Does not include county-fair-style racing.



### Statistics for Thoroughbred Tracks

<b>Aqueduct Racetrack</b>					
Year	Race Dates	Attendance	Live Handle	Simulcast Import Handle	Total Facility Handle
2015	100	427,280	\$72,410,660	\$223,469,592	\$295,880,252
2014	113	525,978	\$87,075,560	\$226,183,029	\$313,258,589

<b>Belmont Park</b>					
Year	Race Dates	Attendance	Live Handle	Simulcast Import Handle	Total Facility Handle
2015	97	632,448	\$103,449,740	\$109,460,009	\$212,909,749
2014	92	651,655	\$103,651,226	\$108,447,521	\$212,098,747

<b>Saratoga Race Course</b>					
Year	Race Dates	Attendance	Live Handle	Simulcast Import Handle	Total Facility Handle
2015	40	1,180,076	\$136,717,416	\$20,772,448	\$157,489,864
2014	40	1,086,822	\$129,665,215	\$19,302,079	\$148,967,294

<b>Finger Lakes Racetrack</b>					
Year	Race Dates	Attendance	Live Handle	Simulcast Import Handle	Total Facility Handle
2015	153	104,292	\$5,336,029	\$53,190,602	\$58,526,631
2014	158	136,484	\$6,197,735	\$109,935,259	\$116,132,994

**Statistics for Harness Tracks**

<b>Batavia Downs</b>					
Year	Race Dates	Attendance	Live Handle	Simulcast Import Handle	Total Facility Handle
2015	69	196,165	\$1,517,758	\$3,405,884	\$4,923,642
2014	68	169,507	\$1,628,160	\$3,841,188	\$5,469,348

<b>Buffalo Raceway</b>					
Year	Race Dates	Attendance	Live Handle	Simulcast Import Handle	Total Facility Handle
2015	91	*	\$1,556,473	\$6,542,142	\$8,098,615
2014	95	*	\$1,618,090	\$7,391,598	\$9,009,688

<b>Monticello Raceway</b>					
Year	Race Dates	Attendance	Live Handle	Simulcast Import Handle	Total Facility Handle
2015	200	33,169	\$2,519,468	\$3,478,724	\$5,998,192
2014	201	26,695	\$2,453,940	\$2,499,206	\$4,953,146

<b>Saratoga Raceway</b>					
Year	Race Dates	Attendance	Live Handle	Simulcast Import Handle	Total Facility Handle
2015	170	*	\$4,873,767	\$35,930,510	\$40,804,277
2014	160	*	\$4,952,227	\$35,033,894	\$39,986,121

<b>Tioga Downs</b>					
Year	Race Dates	Attendance	Live Handle	Simulcast Import Handle	Total Facility Handle
2015	61	54,312	\$1,053,574	\$3,331,551	\$4,385,125
2014	61	49,185	\$1,276,654	\$3,346,618	\$4,623,272

<b>Vernon Downs</b>					
Year	Race Dates	Attendance	Live Handle	Simulcast Import Handle	Total Facility Handle
2015	90	94,029	\$2,732,078	\$7,148,950	\$9,881,028
2014	90	95,537	\$2,927,385	\$6,996,886	\$9,924,271

<b>Yonkers Raceway</b>					
Year	Race Dates	Attendance	Live Handle	Simulcast Import Handle	Total Facility Handle
2015	230	26,786	\$11,444,006	\$74,011,937	\$85,455,943
2014	232	28,211	\$12,536,318	\$73,715,935	\$86,252,253

\*Free admission/No attendance figures

**Statistics for OTBs**

<b>Capital OTB</b>			
<b>Year</b>	<b>In-State Handle</b>	<b>Out-of-State Handle</b>	<b>Total Handle</b>
2015	\$65,506,104	\$88,445,225	\$153,951,329
2014	\$65,048,848	\$86,169,033	\$151,217,881

<b>Catskill OTB</b>			
<b>Year</b>	<b>In-State Handle</b>	<b>Out-of-State Handle</b>	<b>Total Handle</b>
2015	\$26,160,251	\$46,304,286	\$72,464,537
2014	\$29,020,539	\$49,721,885	\$78,742,424

<b>Nassau OTB</b>			
<b>Year</b>	<b>In-State Handle</b>	<b>Out-of-State Handle</b>	<b>Total Handle</b>
2015	\$67,210,725	\$114,132,450	\$181,343,175
2014	\$74,189,215	\$123,261,716	\$197,450,931

<b>Suffolk OTB</b>			
<b>Year</b>	<b>In-State Handle</b>	<b>Out-of-State Handle</b>	<b>Total Handle</b>
2015	\$42,539,600	\$57,630,383	\$100,169,983
2014	\$45,162,884	\$59,348,561	\$104,511,445

<b>Western OTB</b>			
<b>Year</b>	<b>In-State Handle</b>	<b>Out-of-State Handle</b>	<b>Total Handle</b>
2015	\$31,283,041	\$51,939,370	\$83,222,411
2014	\$34,542,887	\$53,919,974	\$88,462,861

### 2015 Total Betting at New York State Racetracks On-Track, Off-Track and Out-of-State

	<u>NYRA</u>	<u>Finger Lakes</u>	<u>Batavia</u>	<u>Buffalo</u>	<u>Monticello</u>	<u>Saratoga Harness</u>	<u>Tioga</u>	<u>Vernon</u>	<u>Yonkers</u>	<u>TOTAL</u>
ON-TRACK LIVE	<u>\$312,577,816</u>	<u>\$5,336,029</u>	<u>\$1,517,758</u>	<u>\$1,556,473</u>	<u>\$2,519,468</u>	<u>\$4,873,767</u>	<u>\$1,053,574</u>	<u>\$2,732,078</u>	<u>\$11,444,006</u>	<u>\$343,610,969</u>
SIMULCAST EXPORTS:										
Exported to NYS Tracks:										
NYRA	\$53,997,943	\$6,850,655	\$267,298	\$528,356	\$3,746,631	\$1,318,721	\$132,417	\$181,187	\$8,181,539	\$75,204,747
Finger Lakes	\$11,663,970	\$159,677	\$49,778	\$180,915	\$599,093	\$182,658	\$29,680	\$25,844	\$610,967	\$13,502,582
Batavia*	\$524,042	\$98,594		\$242,386	\$170,449	\$96,894	\$14,062	\$28,918	\$79,959	\$1,255,304
Buffalo	\$1,624,861	\$126,120	\$149,202		\$81,940	\$118,559	\$29,909	\$60,910	\$89,756	\$2,281,257
Monticello	\$913,971	\$40,635	\$10,888	\$22,428		\$112,338	\$23,671	\$10,718	\$304,981	\$1,439,630
Saratoga Harness	\$11,744,899	\$567,860	\$37,229	\$66,361	\$320,562		\$29,879	\$94,638	\$835,305	\$13,696,733
Tioga	\$607,139	\$77,176	\$8,569	\$16,493	\$73,737	\$70,747		\$69,305	\$96,397	\$1,019,563
Vernon	\$1,511,928	\$178,827	\$28,437	\$52,605	\$321,873	\$254,122	\$63,250		\$191,859	\$2,602,901
Yonkers	\$23,197,333	\$1,070,351	\$56,028	\$102,266	\$1,344,860	\$643,168	\$72,663	\$90,501		\$26,577,170
TOTAL TO NYS TRACKS	<u>\$105,786,086</u>	<u>\$9,169,895</u>	<u>\$607,429</u>	<u>\$1,211,810</u>	<u>\$6,659,145</u>	<u>\$2,797,207</u>	<u>\$395,531</u>	<u>\$562,021</u>	<u>\$10,390,764</u>	<u>\$137,579,888</u>
EXPORTED TO NYS OTB'S										
Capital	\$54,874,457	\$3,520,425	\$107,853	\$226,015	\$2,231,252	\$2,123,368	\$58,601	\$432,273	\$1,931,861	\$65,506,105
Catskill	\$18,232,124	\$1,673,431	\$62,509	\$121,218	\$2,759,162	\$637,707	\$95,873	\$78,334	\$2,499,894	\$26,160,252
Nassau	\$55,979,993	\$3,340,450	\$90,065	\$187,324	\$3,112,456	\$702,597	\$45,885	\$52,000	\$3,699,957	\$67,210,727
Suffolk	\$35,912,577	\$1,896,698	\$75,536	\$122,999	\$1,856,780	\$446,631	\$32,396	\$55,443	\$2,140,541	\$42,539,601
Western	\$17,319,251	\$4,666,265	\$1,413,928	\$1,850,268	\$2,688,805	\$971,246	\$104,939	\$330,201	\$1,938,136	\$31,283,039
TOTAL TO NYS OTB'S	<u>\$182,318,402</u>	<u>\$15,097,269</u>	<u>\$1,749,891</u>	<u>\$2,507,824</u>	<u>\$12,648,455</u>	<u>\$4,881,549</u>	<u>\$337,694</u>	<u>\$948,251</u>	<u>\$12,210,389</u>	<u>\$232,699,724</u>
EXPORTED OUT OF STATE	<u>\$1,611,513,455</u>	<u>\$109,616,606</u>	<u>\$4,163,514</u>	<u>\$10,635,812</u>	<u>\$84,028,600</u>	<u>\$28,799,036</u>	<u>\$3,871,067</u>	<u>\$5,015,802</u>	<u>\$106,125,588</u>	<u>\$1,963,769,480</u>
TOTAL HANDLE ON NYS RACING	<u>\$2,212,195,759</u>	<u>\$139,219,799</u>	<u>\$8,038,592</u>	<u>\$15,911,919</u>	<u>\$105,855,668</u>	<u>\$41,351,559</u>	<u>\$5,657,866</u>	<u>\$9,258,152</u>	<u>\$140,170,747</u>	<u>\$2,677,660,061</u>

\* Exported handle does not include separate pools.

### 2015 Total Betting at New York State Racetracks Live and Simulcast Imports of All Races

	2015			2014		
	<u>Simulcast Imports</u>	<u>Live Racing</u>	<u>On-Track Betting</u>	<u>Simulcast Imports</u>	<u>Live Racing</u>	<u>On-Track Betting</u>
<b>RECEIVING TRACK:</b>						
<b>THOROUGHBRED:</b>						
Aqueduct	\$223,469,592	\$72,410,660	\$295,880,252	\$226,183,029	\$87,075,560	\$313,258,589
Belmont	\$109,460,009	\$103,449,740	\$212,909,749	\$108,447,521	\$103,651,226	\$212,098,747
Saratoga	\$20,772,448	\$136,717,416	\$157,489,864	\$19,302,079	\$129,665,215	\$148,967,294
NYRA*	<b>\$353,702,049</b>	<b>\$312,577,816</b>	<b>\$666,279,865</b>	<b>\$353,932,629</b>	<b>\$320,392,001</b>	<b>\$674,324,630</b>
Finger Lakes	\$53,190,602	\$5,336,029	\$58,526,631	\$109,935,259	\$6,197,735	\$116,132,994
THOROUGHBRED TRACKS	<u>\$406,892,651</u>	<u>\$317,913,845</u>	<u>\$724,806,496</u>	<u>\$463,867,888</u>	<u>\$326,589,736</u>	<u>\$790,457,624</u>
<b>HARNESS:</b>						
Batavia	\$3,405,884	\$1,517,758	\$4,923,642	\$3,841,188	\$1,628,160	\$5,469,348
Buffalo	\$6,542,142	\$1,556,473	\$8,098,615	\$7,391,598	\$1,618,090	\$9,009,688
Monticello	\$3,478,724	\$2,519,468	\$5,998,192	\$2,499,206	\$2,453,940	\$4,953,146
Saratoga	\$35,930,510	\$4,873,767	\$40,804,277	\$35,033,894	\$4,952,227	\$39,986,121
Tioga	\$3,331,551	\$1,053,574	\$4,385,125	\$3,346,618	\$1,276,654	\$4,623,272
Vernon	\$7,148,950	\$2,732,078	\$9,881,028	\$6,996,886	\$2,927,385	\$9,924,271
Yonkers	\$74,011,937	\$11,444,006	\$85,455,943	\$73,715,935	\$12,536,318	\$86,252,253
HARNESS TRACKS	<u>\$133,849,698</u>	<u>\$25,697,124</u>	<u>\$159,546,822</u>	<u>\$132,825,325</u>	<u>\$27,392,774</u>	<u>\$160,218,099</u>
ALL TRACKS	<u>\$ 540,742,349</u>	<u>\$ 343,610,969</u>	<u>\$ 884,353,318</u>	<u>\$ 596,693,213</u>	<u>\$ 353,982,510</u>	<u>\$ 950,675,723</u>

\* Simulcast Imports for NYRA tracks includes \$53,997,943 of inter-track simulcasting of NYRA racing to other NYRA tracks.

NOTE: Increase (Decrease) represents the percentage change in On-Track Betting from 2015 to 2014.

**2015 Total Betting at New York State Racetracks by Breed and Location of Race**

	2015					
	Betting at NYS Thoroughbred Tracks	Percent of Total Thoroughbred	Betting at NYS Harness Tracks	Percent of Total Harness	Total	Percent of Total
<b>SUMMARY OF ON-TRACK BETTING</b>						
<b>LIVE RACING:</b>						
NYRA	\$312,577,816	43.1%	\$0	0.0%	\$312,577,816	35.3%
Finger Lakes	\$5,336,029	0.7%	\$0	0.0%	\$5,336,029	0.6%
NYS Harness Tracks	\$0	0.0%	\$25,697,124	16.1%	\$25,697,124	2.9%
All Live Racing at NYS Tracks	<b>\$317,913,845</b>	<b>43.9%</b>	<b>\$25,697,124</b>	<b>16.1%</b>	<b>\$343,610,969</b>	<b>38.9%</b>
<b>IN-STATE SIMULCASTING BETWEEN NYS TRACKS:</b>						
NYRA	\$65,661,914	9.0%	\$40,122,048	25.1%	\$105,783,962	12.0%
Finger Lakes	\$7,010,332	1.0%	\$2,159,450	1.4%	\$9,169,782	1.0%
NYS Harness Tracks	\$16,038,083	2.2%	\$6,588,826	4.1%	\$22,626,909	2.6%
All Simulcasts from NYS Tracks	<b>\$88,710,329</b>	<b>12.2%</b>	<b>\$48,870,324</b>	<b>30.6%</b>	<b>\$137,580,653</b>	<b>15.6%</b>
<b>WAGERING ON NYS RACING AT NYS TRACKS</b>	<b>\$406,624,174</b>	<b>56.1%</b>	<b>\$74,567,448</b>	<b>46.7%</b>	<b>\$481,191,622</b>	<b>54.5%</b>
<b>WAGERING ON OUT-OF-STATE RACES AT NYS TRACKS:</b>						
Thoroughbred Races	\$318,182,322	43.9%	\$65,338,240	41.0%	\$383,520,562	43.3%
Harness Races	\$0	0.0%	\$19,641,134	12.3%	\$19,641,134	2.2%
All Simulcasts from Out-of-State Tracks	<b>\$318,182,322</b>	<b>43.9%</b>	<b>\$84,979,374</b>	<b>53.3%</b>	<b>\$403,161,696</b>	<b>45.5%</b>
<b>TOTAL BETTING AT NYS TRACKS FROM ALL SOURCES</b>	<b>\$724,806,496</b>	<b>100.0%</b>	<b>\$159,546,822</b>	<b>100.0%</b>	<b>\$ 884,353,318</b>	<b>100.0%</b>

**2015 DISTRIBUTION OF ON-TRACK BETTING**

	<u>NYRA</u>	<u>Finger Lakes</u>	<u>Batavia</u>	<u>Buffalo</u>	<u>Monticello</u>	<u>Saratoga</u>	<u>Tioga</u>	<u>Vernon</u>	<u>Yonkers</u>	<u>All NYS Tracks</u>
Regular	\$232,751,820	\$19,848,901	\$1,231,431	\$1,967,788	\$1,011,797	\$12,225,605	\$1,420,659	\$2,869,582	\$25,349,126	<b>\$298,676,709</b>
Multiple	\$221,875,296	\$17,687,706	\$1,592,291	\$2,374,275	\$2,089,238	\$14,287,736	\$1,272,319	\$3,402,982	\$28,825,718	<b>\$293,407,561</b>
Exotic	\$211,652,749	\$20,990,024	\$2,088,645	\$3,710,371	\$2,897,157	\$13,884,011	\$1,692,147	\$3,608,464	\$31,281,100	<b>\$291,804,668</b>
Super Exotic	\$0	\$0	\$11,275	\$46,181	\$0	\$406,925	\$0	\$0	\$0	<b>\$464,381</b>
Total Handle	<b>\$666,279,865</b>	<b>\$58,526,631</b>	<b>\$4,923,642</b>	<b>\$8,098,615</b>	<b>\$5,998,192</b>	<b>\$40,804,277</b>	<b>\$4,385,125</b>	<b>\$9,881,028</b>	<b>\$85,455,943</b>	<b>\$884,353,318</b>
Takeout	\$131,161,073	\$11,342,621	\$1,045,621	\$1,688,822	\$1,141,358	\$8,416,400	\$876,795	\$2,042,680	\$17,586,239	<b>\$175,301,609</b>
Breakage	\$2,926,960	\$195,216	\$18,913	\$28,567	\$18,707	\$174,990	\$19,753	\$36,537	\$235,765	<b>\$3,655,408</b>
Total Takeout and Breakage	<b>\$134,088,033</b>	<b>\$11,537,837</b>	<b>\$1,064,534</b>	<b>\$1,717,389</b>	<b>\$1,160,065</b>	<b>\$8,591,390</b>	<b>\$896,548</b>	<b>\$2,079,217</b>	<b>\$17,822,004</b>	<b>\$178,957,017</b>
State Tax on Handle	\$7,902,487	\$697,641	\$57,825	\$109,528	\$67,437	\$497,192	\$57,524	\$135,930	\$1,039,764	<b>\$10,565,328</b>
State Regulatory Fee	\$3,331,377	\$292,619	\$24,618	\$40,496	\$29,995	\$204,021	\$21,926	\$49,405	\$427,280	<b>\$4,421,737</b>
State Tax on Breakage	\$1,029,639	\$118,376	\$7,777	\$11,911	\$8,407	\$68,759	\$8,420	\$15,025	\$129,595	<b>\$1,397,909</b>
Total Tax and Regulatory F	<b>\$12,263,503</b>	<b>\$1,108,636</b>	<b>\$90,220</b>	<b>\$161,935</b>	<b>\$105,839</b>	<b>\$769,972</b>	<b>\$87,870</b>	<b>\$200,360</b>	<b>\$1,596,639</b>	<b>\$16,384,974</b>
Racetrack Commission	\$115,773,537	\$10,051,546	\$923,762	\$1,482,641	\$994,582	\$7,459,959	\$760,576	\$1,775,063	\$15,571,442	<b>\$154,793,108</b>
Racetrack Breakage	\$1,897,321	\$76,841	\$11,136	\$16,657	\$10,300	\$106,232	\$11,332	\$21,513	\$106,169	<b>\$2,257,501</b>
Total to Racetrack	<b>\$117,670,858</b>	<b>\$10,128,387</b>	<b>\$934,898</b>	<b>\$1,499,298</b>	<b>\$1,004,882</b>	<b>\$7,566,191</b>	<b>\$771,908</b>	<b>\$1,796,576</b>	<b>\$15,677,611</b>	<b>\$157,050,609</b>
Horse Breeders Fund Share	\$4,153,672	\$300,816	\$39,416	\$56,157	\$49,343	\$255,227	\$36,769	\$82,281	\$547,753	<b>\$5,521,434</b>
<u>Racetrack Payouts</u>										
Paid to Simulcast Senders	\$16,459,724	\$692,680	\$140,798	\$336,243	\$163,674	\$2,206,711	\$161,657	\$351,278	\$3,996,579	<b>\$24,509,344</b>
Paid to NYRA and Finger Lakes	\$0	\$0	\$37,932	\$97,535	\$32,025	\$470,099	\$45,517	\$88,373	\$970,491	<b>\$1,741,972</b>
Gross Purses Paid	\$166,716,638	\$16,267,775	\$5,498,311	\$7,223,150	\$9,288,574	\$18,446,114	\$6,751,253	\$7,931,742	\$62,663,931	<b>\$300,787,488</b>
Minus Pool	\$1,490,299	\$47,073	\$614	\$889	\$3,074	\$23,067	\$4,380	\$82,818	\$192,358	<b>\$1,844,572</b>
Uncashed Tickets	\$2,581,148	\$83,735	\$22,051	\$47,189	\$38,321	\$174,031	\$26,107	\$48,672	\$377,879	<b>\$3,399,133</b>
State Admission Taxes	\$539,421	\$331				\$407		\$550	\$37,665	<b>\$578,374</b>

**NOTE: Handle includes on-track live racing and simulcast imports of all racing.**

**2015 New York State Regional OTBs Total Handle By Track and Region**

	<u>Capital</u>	<u>Catskill</u>	<u>Nassau</u>	<u>Suffolk</u>	<u>Western</u>	<u>All Regions</u>
<u>New York State Thoroughbred:</u>						
NYRA:						
Aqueduct	\$14,179,268	\$5,677,550	\$18,665,297	\$11,961,420	\$5,608,621	\$56,092,156
Belmont	\$20,577,612	\$8,218,938	\$24,381,042	\$16,036,509	\$7,506,242	\$76,720,343
Saratoga	\$20,117,578	\$4,335,634	\$12,933,652	\$7,914,648	\$4,204,388	\$49,505,900
Total NYRA	<b>\$54,874,458</b>	<b>\$18,232,122</b>	<b>\$55,979,991</b>	<b>\$35,912,577</b>	<b>\$17,319,251</b>	<b>\$182,318,399</b>
Finger Lakes	\$3,520,424	\$1,673,431	\$3,340,450	\$1,896,698	\$4,666,265	\$15,097,268
Handle on NYS Thoroughbred Tracks	<b>\$58,394,882</b>	<b>\$19,905,553</b>	<b>\$59,320,441</b>	<b>\$37,809,275</b>	<b>\$21,985,516</b>	<b>\$197,415,667</b>
<u>New York State Harness:</u>						
Batavia	\$107,853	\$62,509	\$90,065	\$75,536	\$1,413,930	\$1,749,893
Buffalo	\$226,015	\$121,218	\$187,324	\$122,999	\$1,850,268	\$2,507,824
Monticello	\$2,231,251	\$2,759,163	\$3,112,456	\$1,856,780	\$2,688,804	\$12,648,454
Saratoga	\$2,123,368	\$637,707	\$702,597	\$446,630	\$971,246	\$4,881,548
Tioga	\$58,602	\$95,873	\$45,885	\$32,396	\$104,938	\$337,694
Vernon	\$432,273	\$78,334	\$52,000	\$55,443	\$330,202	\$948,252
Yonkers	\$1,931,860	\$2,499,894	\$3,699,957	\$2,140,541	\$1,938,137	\$12,210,389
Handle on NYS Harness Tracks	<b>\$7,111,222</b>	<b>\$6,254,698</b>	<b>\$7,890,284</b>	<b>\$4,730,325</b>	<b>\$9,297,525</b>	<b>\$35,284,054</b>
Handle on All New York State Tracks	<b>\$65,506,104</b>	<b>\$26,160,251</b>	<b>\$67,210,725</b>	<b>\$42,539,600</b>	<b>\$31,283,041</b>	<b>\$232,699,721</b>
Out-of-State Thoroughbred	\$72,156,672	\$33,401,065	\$92,866,733	\$45,818,391	\$33,933,721	\$278,176,582
Out-of-State Harness	\$12,406,699	\$10,033,508	\$17,051,806	\$9,413,441	\$14,724,217	\$63,629,671
Handle on Out-of-State Tracks	<b>\$84,563,371</b>	<b>\$43,434,573</b>	<b>\$109,918,539</b>	<b>\$55,231,832</b>	<b>\$48,657,938</b>	<b>\$341,806,253</b>
<u>Special Event Races:</u>						
Kentucky Derby	\$1,708,321	\$1,634,473	\$2,248,550	\$1,544,533	\$1,868,337	\$9,004,214
Preakness Stakes	\$747,440	\$716,052	\$1,119,658	\$163,675	\$901,125	\$3,647,950
Breeders Cup	\$1,426,093	\$519,188	\$845,703	\$690,343	\$511,970	\$3,993,297
Handle on Special Event Races	<b>\$3,881,854</b>	<b>\$2,869,713</b>	<b>\$4,213,911</b>	<b>\$2,398,551</b>	<b>\$3,281,432</b>	<b>\$16,645,461</b>
Total NYS OTB Handle on All Tracks	<b>\$153,951,329</b>	<b>\$72,464,537</b>	<b>\$181,343,175</b>	<b>\$100,169,983</b>	<b>\$83,222,411</b>	<b>\$591,151,435</b>

**2015 New York State Regional OTBs Direct and Regional Payments to Tracks**

	<u>Capital</u>	<u>Catskill</u>	<u>Nassau</u>	<u>Suffolk</u>	<u>Western</u>	<u>TOTAL</u>
<u>New York State Thoroughbred:</u>						
NYRA:						
Aqueduct	\$ 989,203	\$ 524,239	\$ 1,443,455	\$ 905,672	\$ 245,490	\$ 4,108,059
Belmont	\$ 1,215,238	\$ 621,542	\$ 1,896,347	\$ 1,217,710	\$ 342,906	\$ 5,293,743
Saratoga	\$ 1,127,246	\$ 281,539	\$ 1,015,683	\$ 606,783	\$ 178,604	\$ 3,209,855
Total NYRA Direct	<b>\$ 3,331,687</b>	<b>\$ 1,427,320</b>	<b>\$ 4,355,485</b>	<b>\$ 2,730,165</b>	<b>\$ 767,000</b>	<b>\$ 12,611,657</b>
NYRA Regional	\$ 2,958,039	\$ 1,431,038	\$ 3,919,249	\$ 1,979,749	\$ 1,517,648	\$ 11,805,723
Finger Lakes Direct	\$ 206,048	\$ 82,800	\$ 138,095	\$ 90,029	\$ 313,512	\$ 830,484
Finger Lakes Regional	\$ 607,534	\$ 269,085	\$ 771,906	\$ 361,681	\$ 637,386	\$ 2,647,592
Total New York State Thoroughbred	<b>\$ 7,103,308</b>	<b>\$ 3,210,243</b>	<b>\$ 9,184,735</b>	<b>\$ 5,161,624</b>	<b>\$ 3,235,546</b>	<b>\$ 27,895,456</b>
<u>New York State Harness:</u>						
Batavia Direct	\$ 2,347	\$ 1,081	\$ 1,532	\$ 1,353	\$ 78,581	\$ 84,894
Batavia Regional	\$ -	\$ -	\$ -	\$ -	\$ 500,815	\$ 500,815
Buffalo Direct	\$ 5,803	\$ 2,129	\$ 3,222	\$ 2,163	\$ 102,241	\$ 115,558
Buffalo Regional	\$ -	\$ -	\$ -	\$ -	\$ 691,432	\$ 691,432
Monticello Direct	\$ 59,864	\$ 160,514	\$ 411,746	\$ 109,618	\$ 67,940	\$ 809,682
Monticello Regional	\$ -	\$ 539,951	\$ 707,386	\$ 584,610	\$ -	\$ 1,831,947
Saratoga Direct	\$ 265,521	\$ 12,274	\$ 12,305	\$ 7,924	\$ 17,390	\$ 315,414
Saratoga Regional	\$ 2,016,573	\$ -	\$ -	\$ -	\$ -	\$ 2,016,573
Tioga Direct	\$ 1,210	\$ 4,338	\$ 2,518	\$ 1,810	\$ 2,031	\$ 11,907
Tioga Regional	\$ -	\$ 28,965	\$ 184,754	\$ 23,324	\$ -	\$ 237,043
Vernon Direct	\$ 19,550	\$ 1,330	\$ 880	\$ 986	\$ 11,938	\$ 34,684
Vernon Regional	\$ 553,450	\$ 5,159	\$ -	\$ -	\$ 163,511	\$ 722,120
Yonkers Direct	\$ 46,027	\$ 154,082	\$ 1,075,817	\$ 119,321	\$ 44,750	\$ 1,439,997
Yonkers Regional	\$ -	\$ 360,360	\$ 417,086	\$ 493,720	\$ -	\$ 1,271,166
Total New York State Harness	<b>\$ 2,970,345</b>	<b>\$ 1,270,183</b>	<b>\$ 2,817,246</b>	<b>\$ 1,344,829</b>	<b>\$ 1,680,629</b>	<b>\$ 10,083,232</b>
Total Payments to New York State Tracks	<b>\$ 10,073,653</b>	<b>\$ 4,480,426</b>	<b>\$ 12,001,981</b>	<b>\$ 6,506,453</b>	<b>\$ 4,916,175</b>	<b>\$ 37,978,688</b>
Out-of-State Thoroughbred	\$ 3,509,331	\$ 1,418,143	\$ 4,136,950	\$ 2,002,085	\$ 1,517,273	\$ 12,583,782
Out-of-State Harness	\$ 271,803	\$ 214,024	\$ 330,320	\$ 205,151	\$ 290,336	\$ 1,311,634
Total Payments to Out-of-State Tracks	<b>\$ 3,781,134</b>	<b>\$ 1,632,167</b>	<b>\$ 4,467,270</b>	<b>\$ 2,207,236</b>	<b>\$ 1,807,609</b>	<b>\$ 13,895,416</b>
<u>Special Events:</u>						
Kentucky Derby	\$ 184,345	\$ 242,820	\$ 236,930	\$ 162,177	\$ 197,199	\$ 1,023,471
Preakness Stakes	\$ 49,103	\$ 47,228	\$ 69,779	\$ 49,444	\$ 60,690	\$ 276,244
Breeders Cup	\$ 92,812	\$ 33,821	\$ 55,549	\$ 44,785	\$ 33,753	\$ 260,720
Total Special Events	<b>\$ 326,260</b>	<b>\$ 323,869</b>	<b>\$ 362,258</b>	<b>\$ 256,406</b>	<b>\$ 291,642</b>	<b>\$ 1,560,435</b>
Total Payments to All Tracks	<b>\$ 14,181,047</b>	<b>\$ 6,436,462</b>	<b>\$ 16,831,509</b>	<b>\$ 8,970,095</b>	<b>\$ 7,015,426</b>	<b>\$ 53,434,539</b>



**2015 New York State Regional OTBs Distribution of Revenues**

	<u>Capital</u>	<u>Catskill</u>	<u>Nassau</u>	<u>Suffolk</u>	<u>Western</u>	<u>All Regions</u>
<b>Pari-Mutuel Revenue:</b>						
Statutory Take-Out	\$ 31,218,799	\$ 15,201,105	\$ 37,066,468	\$ 20,518,582	\$ 17,765,652	\$ 121,770,606
Breakage	\$ 648,423	\$ 238,560	\$ 721,704	\$ 391,734	\$ 266,884	\$ 2,267,305
Minus Pools	\$ (55,937)	\$ (16,058)	\$ (29,084)	\$ (8,658)	\$ (11,937)	\$ (121,675)
Missed Pools	\$ (13)	\$ 1,070	\$ -	\$ -	\$ -	\$ 1,057
Derived from Section 532.3.b.(iv) & 532.7	\$ 870,679	\$ 704,041	\$ 1,341,265	\$ 591,507	\$ 934,085	\$ 4,441,577
<b>Total Pari-Mutuel Revenue</b>	<b>\$ 32,681,951</b>	<b>\$ 16,128,718</b>	<b>\$ 39,100,353</b>	<b>\$ 21,493,165</b>	<b>\$ 18,954,684</b>	<b>\$ 128,358,871</b>
<b>Statutory &amp; Simulcast Payments</b>						
New York State (Pari-Mutuel Tax & Breakage)	\$ 1,143,019	\$ 644,561	\$ 1,311,187	\$ 825,060	\$ 762,638	\$ 4,686,465
Racing Regulatory Fee	\$ 769,757	\$ 362,323	\$ 906,716	\$ (321,311)	\$ 416,113	\$ 2,133,598
NYS Thoroughbred Breeding and Development Fund	\$ 707,228	\$ 305,848	\$ 867,730	\$ 489,350	\$ 327,448	\$ 2,697,605
Ag. & NYS Horse Breeding Development Fund (Harness)	\$ 334,305	\$ 206,194	\$ 407,419	\$ 232,430	\$ 285,418	\$ 1,465,766
In-State Thoroughbred Tracks	\$ 7,103,308	\$ 3,210,243	\$ 9,184,735	\$ 5,161,624	\$ 3,235,546	\$ 27,895,457
Out-of-State Thoroughbred Tracks	\$ 3,509,331	\$ 1,418,143	\$ 4,136,950	\$ 2,002,085	\$ 1,517,273	\$ 12,583,782
In-State Harness Tracks	\$ 2,970,345	\$ 1,270,183	\$ 2,817,246	\$ 1,344,829	\$ 1,680,629	\$ 10,083,232
Out-of-State Harness Tracks	\$ 271,803	\$ 214,024	\$ 330,321	\$ 205,151	\$ 290,336	\$ 1,311,635
Special Events	\$ 326,260	\$ 323,869	\$ 362,259	\$ 256,406	\$ 291,642	\$ 1,560,436
<b>Total Statutory &amp; Simulcast Payments</b>	<b>\$ 17,135,356</b>	<b>\$ 7,955,389</b>	<b>\$ 20,324,563</b>	<b>\$ 10,195,625</b>	<b>\$ 8,807,043</b>	<b>\$ 64,417,975</b>
<b>Net Pari-Mutuel Revenue</b>	<b>\$ 15,546,595</b>	<b>\$ 8,173,330</b>	<b>\$ 18,775,790</b>	<b>\$ 11,297,541</b>	<b>\$ 10,147,641</b>	<b>\$ 63,940,896</b>
<b>Other Revenue</b>						
Admission Income & Tax	\$ 31,878	\$ 81,490	\$ 71,510	\$ 82,665	\$ -	\$ 267,543
Lottery Income	\$ 227,169	\$ 140,675	\$ 38,762	\$ 112,594	\$ 284,614	\$ 803,813
Concession Income	\$ 58,398	\$ 16,004	\$ 6,782	\$ 12,000	\$ 21,661	\$ 114,845
Derived from Section 509-a(3)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Transfer from Section 509 Reserve Fund	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Interest Income	\$ 9,272	\$ -	\$ -	\$ 668	\$ 4,653	\$ 14,593
Enterprise Fund - Net Revenue / (Loss)	\$ (1,585,262)	\$ -	\$ -	\$ (520,716)	\$ 6,709,281	\$ 4,603,303
Other Income	\$ 1,240,267	\$ 661,437	\$ 1,692,561	\$ 1,761,615	\$ 1,390,024	\$ 6,745,903
<b>Net Revenue from Operations</b>	<b>\$ 15,528,317</b>	<b>\$ 9,072,935</b>	<b>\$ 20,585,405</b>	<b>\$ 12,746,365</b>	<b>\$ 18,557,874</b>	<b>\$ 76,490,896</b>
<b>Operating Expenses</b>						
Branch Expenses	\$ 11,041,505	\$ 7,613,234	\$ 16,266,888	\$ 8,668,325	\$ 11,259,543	\$ 54,849,495
General & Administrative Expenses	\$ 3,916,424	\$ 2,208,172	\$ 2,029,108	\$ 6,747,711	\$ 6,305,158	\$ 21,206,573
<b>Total Operating Expenses</b>	<b>\$ 14,957,929</b>	<b>\$ 9,821,406</b>	<b>\$ 18,295,996</b>	<b>\$ 15,416,036</b>	<b>\$ 17,564,701</b>	<b>\$ 76,056,068</b>
<b>Section 516 Net Revenues from Operations</b>	<b>\$ 570,388</b>	<b>\$ (748,471)</b>	<b>\$ 2,289,409</b>	<b>\$ (2,669,671)</b>	<b>\$ 993,173</b>	<b>\$ 434,828</b>
Less: Section 509-a(ii) Contributions to Capital Acquisition Fund	-	-	-	-	-	-
Section 509 Contributions to Reserve Fund	-	-	-	-	-	-
Section 527.6 Obligations	-	-	-	-	-	-
<b>Section 516 Net Revenue for Distribution</b>	<b>\$ 570,388</b>	<b>\$ (748,471)</b>	<b>\$ 2,289,409</b>	<b>\$ (2,669,671)</b>	<b>\$ 993,173</b>	<b>\$ 434,828</b>

## 2015 New York State Regional OTBs Supplemental Information/Definitions

**Revenue:**

**Enterprise Fund:** Net revenue or loss from the corporation's business enterprise. For Capital OTB, the amounts relate to the operation of a simulcast television channel. For Western OTB, the amounts relate to the operation of Batavia Downs Gaming.

**Operating Expenses:**

Certain expense include amounts relating to the Government Accounting Standards Board Statement 45 (GASB 45). GASB 45 requires the recognition of Other Post Employment Benefits (OPEB).

<u>Expense</u>	<u>Capital</u>	<u>Catskill</u>	<u>Nassau</u>	<u>Suffolk</u>	<u>Western</u>	<u>Total</u>
Enterprise Fund	\$ 10,583	\$ -			\$ 374,360	\$ <b>384,943</b>
Branch Expenses	\$ 79,626	\$ 170,023	\$ (2,882,313)	\$ 348,579	\$ 695,241	\$ <b>(1,588,844)</b>
General & Administrative	\$ 71,313	\$ 83,728	\$ (508,643)	\$ 510,462	\$ -	\$ <b>156,860</b>
<b>Total</b>	<b>\$ 161,522</b>	<b>\$ 253,751</b>	<b>\$ (3,390,956)</b>	<b>\$ 859,041</b>	<b>\$ 1,069,601</b>	<b>\$ (1,047,041)</b>

**Section 516 Net Revenue Available for Distribution:** Amounts available after payment of allowable expenses that must be distributed to participating localities on a quarterly basis.

**General Notes:**

Reference to "section" relates to sections within the New York State Racing, Pari-Mutuel Wagering and Breeding Law.

**Distributable Surcharge**

In addition to Section 516 Net Revenue, corporations are required to distribute surcharges levied on winning payoffs to participating and other localities on a monthly basis. The following is a summary of the surcharges available for distribution as of **12/31/2015** for each corporation.

	<u>Capital</u>	<u>Catskill</u>	<u>Nassau</u>	<u>Suffolk</u>	<u>Western</u>	<u>Total</u>
Participating Localities	\$ 1,498,197	\$ 1,122,860	\$ 2,318,688	\$ 1,015,554	\$ 1,449,226	\$ <b>7,404,525</b>
Other Localities	\$ 617,738	\$ 336,707	\$ 977,426	\$ 424,047	\$ 492,513	\$ <b>2,848,431</b>
<b>Total Surcharge</b>	<b>\$ 2,115,935</b>	<b>\$ 1,459,567</b>	<b>\$ 3,296,114</b>	<b>\$ 1,439,601</b>	<b>\$ 1,941,739</b>	<b>\$ 10,252,956</b>

The above does not include surcharges retained by the corporation for corporate purposes or the capital acquisition fund.

Participating Localities are local governments within the Off-Track Betting Region that have elected to participate under Racing, Pari-Mutuel Wagering and Breeding Law Section 502. Other Localities are local governments that have racetracks located within their borders and receive a portion of the surcharge.

## Office of Racing Promotion and Development

### New York State Thoroughbred Breeding and Development Fund

The New York State Thoroughbred Breeding and Development Fund encourages the breeding and maintenance of Thoroughbred racehorses in New York by distributing financial incentives to breeders and owners whose horses compete successfully in New York-based races.

In 2015, the Thoroughbred Fund paid:

- \$ 12,406,050 in Breeder Awards
  - \$ 3,020,302 in Stallion Awards
  - \$ 2,034,024 in Open-Company Owner Awards
- 
- \$17,460,376 Total Paid in Awards

During 2015, 2,716 mares participated in the New York program. Of participating mares, 2,325 mares were bred and 1,629 foals were produced in the spring of the year. New York is the only state to experience an increase in foals for the fourth year in a row.

Video Lottery Terminal revenues in 2015:

Resorts World	\$12,468,342
Finger Lakes	<u>\$1,701,394</u>
	\$14,169,736

Statutes require the Fund to pay the Harry M. Zweig Memorial Fund of Cornell University 2 percent of the Fund’s operating revenues to fund equine research. The amount payable to Cornell University for 2015 is \$439,108, which is comparable to the 2014 payment. The NYTBDF provides 60 percent of all funding received by the Zweig Memorial Fund.

### The Agriculture and New York State Horse Breeding Development Fund

The Agriculture and New York State Horse Breeding Development Fund fosters the State’s agriculture through the promotion of Standardbred horse breeding and the conduct of equine research.

The Fund endeavors to fulfill that mission by distributing purse money for the New York Sires Stakes program and awarding year-end breeders’ awards.

The Fund receives money from the pari-mutuel handle at the New York licensed tracks, New York Off-Track Betting Corporations, multi-jurisdictional account wagering providers, and from the video lottery terminals located at the New York-based harness tracks.

In 2015, the fund awarded \$949,927 to Standardbred breeders. The Fund also provided purse support in the following races:

- \$8,728,071 in Sire Stakes purses
- \$3,585,600 in Excelsior purses
- \$626,800 in Town and County Fair purses
- \$671,929 in State Fair purses
- \$200,000 added to the Empire Breeders Classic purses

Video lottery gaming at New York-based harness tracks contributed more than \$12.4 million to the Fund in 2015, which is distributed to the breeding industry in the form of additional awards and purses as described above.

## Division of Gaming

### Class III Indian Gaming

Class III gaming compacts have been entered into between the State and the Oneida Indian Nation of New York, the Saint Regis Mohawk Tribe and the Seneca Nation of Indians. These compacts, which guarantee the State specific regulatory authority in regard to Class III gaming operations, met the State’s three goals deemed critical to its agreements with the tribes: to ensure public order and safety; to protect the integrity of the games authorized by the compacts; and to gain agreement on a sound system of fiscal and auditing controls over casino gaming operations to protect the interests of the wagering public, the tribes and the State of New York.

Contained in each compact are standards and specifications for each permitted game at an Indian casino, the rules and internal controls governing the operation of the gaming facility, procedures for certification and/or registration of gaming employees and companies transacting business with the casino, and a system for mediation of disputes. In addition, each of the three respective nations/tribes have agreed to a regulatory payment of 25% of all Class III slot machines at each facility in return for exclusivity zones from certain other gaming operations.

In 2015, Commission inspectors maintained a 24-hour presence within each of the five Class III gaming facilities in New York, monitoring and confirming that gaming is operated pursuant to the respective Class III gaming compact. In general, each compact requires that all gaming employees and each of the representatives of the companies transacting business with a Class III gaming facility be found suitable prior to employment or the transaction of business.

Gaming inspectors conducted compliance examinations to ensure that gaming operations such as dealing procedures, internal accounting and other controls strictly conformed to the applicable provisions of the respective Class III gaming compact.

### Video Lottery Gaming

The nine video lottery gaming facilities showed a 2.8 percent increase in net win versus 2014. All video gaming facilities achieved year over year growth, except for Vernon Downs Casino where net win decreased by 5.9 percent.

The ETG segment of the video lottery gaming program has grown to 1,790 seats statewide. Although the net win per day per machine decreased from 2014 to \$508, the total net win from ETG’s has increased from \$305,526,836 in 2014 to \$331,788,508 in 2015. Net win per day for all devices statewide increased from \$288 to \$296 in 2015.










Notable events at video lottery gaming facilities include:

**Introduction of Electronic Blackjack**—In August 2015, electronic blackjack was introduced at the New York gaming facilities. By the end of 2015, there were 418 games across the state.

**Resorts World Casino New York City**—Resorts World Casino New York City has been converting areas that are no longer used for food outlets into gaming space. This conversion allowed the facility to add an additional 313 machines, for a total of 5,313 at the end of 2015.

**Revenue Records** – Resorts World Casino, Finger Lakes Gaming, Saratoga Casino Hotel, Tioga Downs Casino and Empire City Casino in 2015 each set a new record for daily credits played and net win at its facility.

## Video Gaming Report for Calendar Year 2015

								
<b>Saratoga Casino Hotel</b>	<b>Finger Lakes Gaming &amp; Racetrack</b>	<b>Hamburg Gaming</b>	<b>Batavia Downs Gaming</b>	<b>Monticello Casino &amp; Raceway</b>	<b>Tioga Downs Casino</b>	<b>Empire City Casino</b>	<b>Vernon Downs Casino</b>	<b>Resorts World Casino</b>

CY 2015 Net Win:

Credits Played	\$2,264,986,761	\$1,742,070,267	\$834,073,246	\$679,890,312	\$888,455,150	\$788,744,629	\$8,902,675,427	\$514,763,161	\$19,595,054,807
Free Play Allowance	\$20,510,330	\$15,362,204	\$7,352,523	\$6,921,788	\$10,765,015	\$7,843,451	\$76,938,826	\$5,930,389	\$110,017,407
Credits Won	\$2,083,557,137	\$1,599,351,595	\$761,494,185	\$620,411,753	\$818,363,825	\$723,893,129	\$8,267,449,061	\$469,772,439	\$18,653,814,817
<b>Net Win</b>	<b>\$160,919,294</b>	<b>\$127,356,468</b>	<b>\$65,226,538</b>	<b>\$52,556,771</b>	<b>\$59,326,310</b>	<b>\$57,008,049</b>	<b>\$558,287,540</b>	<b>\$39,060,333</b>	<b>\$831,222,583</b>
Avg Daily VGM's	1,763	1,541	940	788	1,110	801	5,277	767	5,060
Win/VGM/Day	\$250	\$226	\$190	\$183	\$146	\$195	\$290	\$140	\$450

Statutory Allocation of Net Win:

Education Contribution	\$77,568,839	\$59,904,669	\$22,857,815	\$21,548,276	\$23,137,261	\$21,722,051	\$282,226,647	\$13,671,118	\$365,737,937
Net Agent Commission	\$34,389,142	\$26,744,860	\$20,220,224	\$13,664,760	\$18,391,156	\$16,343,622	\$118,356,958	\$12,255,173	\$182,868,967
Purses <sup>1</sup>	\$13,484,349	\$11,143,691	\$5,707,322	\$4,073,150	\$5,191,052	\$4,547,842	\$47,733,584	\$3,271,303	\$62,341,694
Breeding Fund	\$2,011,491	\$1,591,956	\$815,332	\$656,960	\$741,579	\$712,601	\$6,978,594	\$488,254	\$12,468,339
NYRA Operations	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$24,936,677
NYRA Capital Expenditures	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$33,248,903
Marketing Allowance	\$14,873,544	\$12,735,646	\$6,522,655	\$5,255,677	\$5,932,631	\$5,700,805	\$44,663,003	\$3,906,035	\$66,497,807
Gaming Floor & Admin	\$16,091,929	\$12,735,646	\$6,522,655	\$5,255,677	\$5,932,631	\$5,700,805	\$55,828,754	\$3,906,036	\$83,122,259
Capital Award (\$2.5M cap) <sup>2</sup>	\$2,500,000	\$2,500,000	\$2,580,535	\$2,102,271	\$0	\$2,280,323	\$2,500,000	\$1,562,414	\$0
<b>Total</b>	<b>\$160,919,294</b>	<b>\$127,356,468</b>	<b>\$65,226,538</b>	<b>\$52,556,771</b>	<b>\$59,326,310</b>	<b>\$57,008,049</b>	<b>\$558,287,540</b>	<b>\$39,060,333</b>	<b>\$831,222,583</b>

<sup>1</sup> Legislation allows facility operators to enter into agreements with representatives of the horsemen to reduce contributions from the statutory amount. One percent of purse contributions from VLG proceeds are refunded to the Commission for equine health and safety programs.

<sup>2</sup> All facility operators, except Resorts World Casino and Monticello Casino & Raceway, receive 4% of net win for capital expenditures (\$2.5 million annual cap).

Local Assistance Funding: <sup>3</sup>

Eligible County	Saratoga \$775,198	Ontario \$591,174	Erie \$288,560	Genessee \$200,392	Sullivan \$308,570	Tioga \$285,008	n/a \$0	Oneida \$256,796	n/a \$0
Eligible City	Saratoga Springs \$2,325,592	n/a \$0	n/a \$0	Batavia \$440,789	n/a \$0	n/a \$0	Yonkers \$19,600,000	n/a \$0	n/a \$0
Eligible Town	n/a \$0	Farmington \$1,777,573	Hamburg \$865,679	Batavia \$160,388	Thompson \$634,506	Nichols \$160,846	n/a \$0	Vernon \$231,788	n/a \$0
Eligible Village	n/a \$0	n/a \$0	n/a \$0	n/a \$0	Monticello \$291,205	n/a \$0	n/a \$0	Vernon \$137,103	n/a \$0

<sup>3</sup> Eligible municipalities hosting a video lottery gaming facility receive local assistance funding per State Finance Law Section 54-L.

## Destination Resort Casinos

The Upstate New York Gaming Economic Development Act (Chapters [174](#) and [175](#) of the Laws of 2013) outlines the procedure and process for siting destination gaming resorts in New York State. The primary provisions of the Act are included in Article 13 of [New York's Racing, Pari-Mutuel Wagering and Breeding Law](#).

In 2015, the background and suitability checks were completed on the three selected applicants and licenses were awarded to the entities listed below, effective March 2016.

- del Lago Resort & Casino
- Montreign Resort Casino
- Rivers Casino & Resort at Mohawk Harbor

The licensees are now in the construction phase and all have reported to be on schedule to open within two years of licensure. By law, a facility must open for business within two years of receipt of a formal gaming license.

Also in 2015, the licensing process was reopened for the Southern Tier and one application was received. The Gaming Facility Location Board selected Tioga Downs Casino Racing & Entertainment for licensure consideration. If granted a license, Tioga Downs Casino will also be held to the same applicable law to be open for business within two years of licensure.

## Division of Charitable Gaming

Charitable gaming occurs in the form of bingo, bell jar sales, the conduct of Las Vegas nights, and operation of raffles in New York.

The Commission's Division of Charitable Gaming is responsible for the investigation, audit and monitoring of bell jar ticket sales and the verification of the lawful disbursement of bingo, bell jar, Las Vegas night, and raffle proceeds by licensees in New York State.

The Division of Charitable Gaming issues licenses to bingo and games of chance manufacturers and suppliers; collects the license fees paid by those licensees; determines that commercial bingo hall rental fees are fair and reasonable as a prerequisite to licensure by municipalities; approves bell jar ticket games each year; and issues bingo and games of chance identification numbers to applicant organizations as a prerequisite to the licensing of such organizations by municipalities.

The Division of Charitable Gaming assists members of licensed authorized organizations and manufacturers and distributors of gambling equipment and supplies by helping members to comply with State law and the Commission's rules and conducts training seminars for municipal clerks and law enforcement.

The Division of Charitable Gaming also helps qualified organizations comply with the laws authorizing the conduct of gaming fundraisers. Commission staff play a proactive role in the registration and training of authorized organizations conducting bingo, bell jar, raffle and table games at casino nights, carnivals and field days.

### Identification Numbers Issued

Games of chance: 292

Bingo: 42

### Bell Jar Ticket Approval

7,374 games submitted and reviewed

7,371 games approved

3 games denied

### Bell Jar 5 Percent Additional License Fee

\$2,330,132

### Bingo Halls – Rent Determinations

12

### Suppliers

#### Games of Chance

48 games of chance supplier licenses issued

\$180,339 games of chance license fees to the Commission

\$9,956,958 in gross sales of bell jar, Las Vegas night and raffle supplies and equipment

#### Bingo

33 bingo supplier licenses issued

\$12,695 bingo license fees to the Commission

\$3,889,395 in gross sales of bingo supplies and equipment

**Investigations**

- Complaints - 148
- Inspections - 136
- Investigations - 46
- Meetings - 47
- Training Seminars - 55

**Compliance**

- 32 compliance conferences conducted
- 730 previously unreported bell jar deals
- \$11,426 collected in 5 percent additional license fees owed to the Commission

**Licenses Issued by Municipal Clerks<sup>1</sup>**

- Bell Jar – 354
- Bingo – 142
- Las Vegas Nights – 105
- Raffles – 123

**License Fees Collected by Municipal Clerks**

- Bell Jar - \$9,937
- Bingo - \$147,746
- Las Vegas Nights - \$5,153
- Raffles - \$500

**Additional License Fees Collected by Municipal Clerks and the Commission**

- Bell Jar (Commission) - \$2,330,132
- Bingo (municipality) - \$90,202
- Las Vegas Nights (municipality) - \$4,191
- Raffles (municipality) - \$9,181

**Wagering and Net Revenues to Charities**

Program	Amount Wagered	Net Revenues to Charities
Bell Jar	\$214,847,066	\$36,316,147
Bingo	\$31,263,282	\$2,748,794
Las Vegas Nights	\$299,095	\$174,147
Raffles	\$3,043,327	\$1,242,711
<b>Total</b>	<b>\$249,452,770</b>	<b>\$40,481,799</b>

<sup>1</sup>There are 1,528 municipal clerks required to report charitable gaming licensing activity annually to the Commission. The figures reflected are compiled from reports filed by municipal clerks who have complied with the mandatory reporting requirements.



## 2015 Bell Jar Net Proceeds by County

County	Net Proceeds
Albany	\$739,673.50
Allegany	\$968,699.14
Bronx	\$15,403.42
Broome	\$590,678.98
Cattaraugus	\$1,234,765.34
Cayuga	\$525,432.74
Chautauqua	\$2,896,418.72
Chemung	\$559,731.70
Chenango	\$60,916.51
Clinton	\$586,591.19
Columbia	\$32,573.34
Cortland	\$278,447.14
Delaware	\$127,051.41
Dutchess	\$401,209.81
Erie	\$2,084,776.68
Essex	\$138,582.79
Franklin	\$408,095.62
Fulton	\$347,008.27
Genesee	\$143,034.76
Greene	\$19,241.29
Herkimer	\$491,685.70
Jefferson	\$1,336,685.09
Kings	\$3,716.35
Lewis	\$438,511.61
Livingston	\$265,118.07
Madison	\$272,980.22
Monroe	\$1,799,732.66
Montgomery	\$256,046.47
Nassau	\$125,434.32
New York	\$3,211.00
Niagara	\$715,777.04
Oneida	\$2,114,169.85
Onondaga	\$737,397.83
Ontario	\$628,134.81
Orange	\$258,494.38
Orleans	\$548,154.08
Oswego	\$625,660.30
Otsego	\$164,688.18

## 2015 Bell Jar Net Proceeds by County

County	Net Proceeds
Putnam	\$63,983.17
Queens	\$39,607.94
Rensselaer	\$707,974.45
Richmond	\$35,484.00
Rockland	\$177,856.56
Saratoga	\$629,705.70
Schenectady	\$546,937.17
Schoharie	\$68,493.58
Schuyler	\$226,639.36
Seneca	\$458,608.66
St. Lawrence	\$1,278,475.32
Steuben	\$1,688,504.03
Suffolk	\$512,244.30
Sullivan	\$15,064.35
Tioga	\$116,326.68
Tompkins	\$297,363.86
Ulster	\$189,987.01
Warren	\$190,558.86
Washington	\$191,756.01
Wayne	\$638,694.07
Westchester	\$289,163.71
Wyoming	\$423,765.70
Yates	\$499,373.94

